

MEDICARE PLATINO CONTRACT

APPENDIX L (23)

DELIVERABLES

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Appendix L

Deliverables

| Contract Section | Deliverable | Contractor delivery date | ASES Division Approval | Status Yes/No |
|------------------|---|------------------------------|--|---------------|
| 4.5.1 | Cultural Competency Plan | On or before August 30, 2022 | Planning, Statistical and Clinical Affairs & PROBENE | |
| 5.3.8.3 | Detailed description of its Drug Utilization Program Activities | August 30, 2022 | Planning, Statistical and Clinical Affairs | |
| 5.3.9.1 | Mental Health or Substance Use Disorder Benefits - Parity Requirements | August 30, 2022 | Planning, Statistical and Clinical Affairs | |
| 5.4 | Advance Directives Policies and Procedures | August 30, 2022 | Planning, Statistical and Clinical Affairs | |
| 6.1.8.4.1.1 | Policies and Procedures Credentialing and Re-Credentialing | August 30, 2022 | Compliance | |
| 6.1.8.4.1.3 | Standard Credentialing Form | August 30, 2022 | Compliance | |
| 6.1.2 | Contractor shall submit to ASES at the beginning of this contract, the documentation assuring adequate capacity and services in compliance with 42 CFR 438.207(b) and other applicable regulations governing the ability to accommodate expected enrollment in accordance with ASES's standards for access and timeliness of care | August 30, 2022 | Planning, Statistical and Clinical Affairs | |
| 6.1.2.11 | Written policies and procedures for the selection and retention of Network Providers | August 30, 2022 | Planning, Statistical and Clinical Affairs | |



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| 7.1 and 7.1.5 | Provider Guidelines and the evidence of receipt shall include the legible name of the Network Provider, NPI, date of delivery, and signature of the Network Provider and shall be made available | August 30, 2022. | Planning, Statistical and Clinical Affairs and PROBENE | |
| 7.1.6 | Policies and Procedures to inform its Provider Network, in a timely manner, of programmatic changes such as changes to drug formularies, Covered Services, and protocols. | August 30, 2022 | Planning, Statistical and Clinical Affairs and PROBENE | |
| 8.2.2 | Utilization Management Policies and Procedures | August 30, 2022. | Planning, Statistical and Clinical Affairs | |
| 9.2.4 | QAPI program | August 30, 2022 | Planning, Statistical and Clinical Affairs | |
| 10.1.6 | Fraud, Waste and Abuse Policies and Procedures | August 30, 2022. | Compliance | |
| 10.2.1 | Fraud, Waste and Abuse Compliance Plan | August 30, 2022 | Compliance | |
| 10.3.2 | Program Integrity Plan | August 30, 2022 | Compliance | |
| 10.7 | Policies and Procedures in compliance to Stark Law | August 30, 2022 | Compliance | |
| 11.1.4 | Grievances and Appeals Policies and Procedures, | August 30, 2022. | PROBENE | |
| 11.1.13 | Grievances and Appeals System Form | August 30, 2022 | PROBENE | |
| 11.5.9 | Policies and Procedures for Expedite Review Process for Appeals | August 30, 2022 | PROBENE/ Legal | |
| 13.6.1 | Payment Schedule | August 30, 2022 | Financial | |



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| 14.5.12 | Annual basis test its BC-DR Plan | January 30, 2023 Annual & 30 calendar days of completion of tests | Information System | |
| 14.7.7 | Copy of the HIPAA Notice of Privacy Practices | August 30, 2022 | Information System | |
| 14.8.1 | Written System Process and Procedure Manuals document and describe all manual and automated system procedures for its information management processes and Information Systems. | August 30, 2022 | Information System | |
| 14.10.1 | Disaster Recovery and Business Recovery Plan | August 30, 2022 | Information System | |
| 27.2.1 | Certification issued by the Treasury Department of Puerto Rico (Model SC-2888) with evidence that that the Contractor has filed income tax returns in the past five (5) years or has non-profit status; | January 15, 2023 | Legal | |
| 27.2.2 | Certification from the Treasury Department of Puerto Rico that Contractor has no outstanding debt with the Department or, if such a debt exists, it is subject to a payment plan or pending administrative review under applicable law or regulation (Model SC-3537); | January 15, 2023 | Legal | |
| 27.2.3 | Certification from the Center for the Collection of Municipal Revenues certifying that there is no outstanding debt or, if a debt exists, that such debt is subject to payment plan or pending administrative review under applicable law or regulations; | January 15, 2023 | Legal | |



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| 27.2.4 | Certification from the Department of Labor and Human Resources certifying compliance with unemployment insurance, temporary disability insurance and/or chauffeur's social security, if applicable | January 15, 2023. | Legal | |
| 27.2.5 | Evidence of Incorporation and of Good Standing issued by the Department of State of Puerto Rico; | January 15, 2023 | Legal | |
| 27.2.6 | Certification of current municipal license tax ("Patentes Municipales"), if applicable; | January 15, 2023 | Legal | |
| 27.2.7 | Certification issued by the Minor Children Support Administration ("ASUME", by its Spanish acronym) of no outstanding alimony or child support debts, if applicable | January 15, 2023. | Legal | |
| 27.2.8 | A sworn statement certifying that it has no debt with the government of the Government of Puerto Rico, or with any state agencies, corporations or instrumentalities that provide or are related to the provision of health services | January 15, 2023 | Legal | |
| 27.2.9 | Certification from the Puerto Rico Administration of Medical Services ("ASEM", its Spanish acronym) certifying that there is no outstanding debt or, if a debt exists, that such debt is subject to a payment plan or pending administrative review under applicable law or regulations | January 15, 2023 | Legal | |
| 29.1.6 | Policies and Procedures for protection of all records and all other documents deemed confidential information. (Security and Privacy) | August 30, 2022. | Information System | |
| 33.2 | Conflict of Interest Form, | January 10, 2023 | Legal | |



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| 8.2.2.1 and COORDINATE D CARE MODEL Appendix C-5 | Utilization Guidelines | August 1, 2022 | Executive Director Office and Planning, Statistical and Clinical Affairs | |
| COORDINATE D CARE MODEL NORMS Appendix C-5 | List of Incentive payments to Providers | August 1, 2022 | Financial, Compliance | |



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