

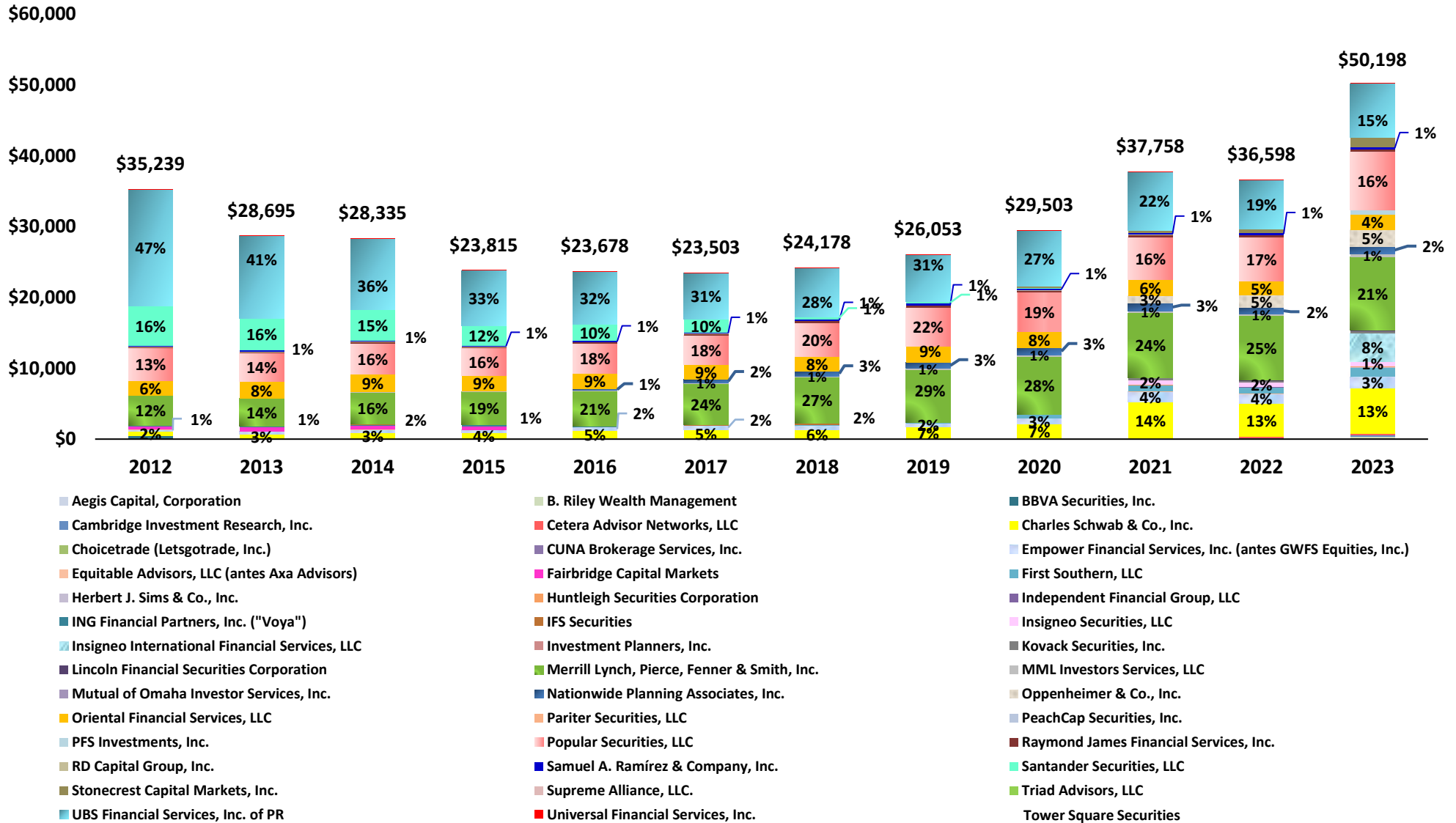
Customer Assets Under Control Broker Dealers

| Institutions | 2023 | 2022 | 2021 | 2020 | 2019 | 2018 | 2017 | 2016 | 2015 | 2014 | 2013 | 2012 |
|--|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|--------------------------|
| Aegis Capital, Corporation | | | | | | | \$ 106,021,000 | \$ 107,241,000 | | | | |
| B. Riley Wealth Management | \$ 372,349,000 | | | | | | | | | | | |
| BBVA Securities, Inc. | | | | | | | | | | | | \$ 494,368,000 |
| Cambridge Investment Research, Inc. | \$ 138,937,000 | \$ 163,062,000 | \$ 4,168,000 | | | | | | | | | |
| Cetera Advisor Networks, LLC | \$ 198,798,000 | \$ 152,827,159 | | | | | | | | | | |
| Charles Schwab & Co., Inc. | \$ 6,499,619,000 | \$ 4,704,105,771 | \$ 5,219,419,126 | \$ 2,161,318,865 | \$ 1,718,571,041 | \$ 1,341,043,059 | \$ 1,255,232,942 | \$ 1,069,921,992 | \$ 943,713,909 | \$ 891,234,127 | \$ 726,906,227 | \$ 634,806,701 |
| Choicetrade (Letsgotrade, Inc.) | \$ 30,549,000 | \$ 28,203,000 | \$ 33,403,000 | | | | | | | | | |
| CUNA Brokerage Services, Inc. | | | \$ 6,063,000 | | | | | | | | | |
| Empower Financial Services, Inc. (antes GWFS Equities, Inc.) | \$ 1,529,823,692 | \$ 1,285,417,478 | \$ 1,421,285,636 | \$ 771,129,835 | \$ 491,441,042 | \$ 537,437,586 | \$ 576,674,617 | \$ 492,763,758 | \$ 353,503,036 | \$ 449,952,536 | \$ 387,518,721 | \$ 203,999,843 |
| Equitable Advisors, LLC (antes Axa Advisors) | \$ 98,200,000 | \$ 87,300,000 | \$ 92,400,000 | \$ 42,100,000 | \$ 10,500,000 | \$ 7,800,000 | \$ 6,300,000 | \$ 5,900,000 | \$ 5,400,000 | \$ 4,600,000 | \$ 2,000,000 | \$ 1,600,000 |
| Fairbridge Capital Markets | | | | | | | | | \$ 528,715,049 | \$ 580,265,283 | \$ 557,099,270 | \$ 490,875,019 |
| First Southern, LLC | \$ 1,256,808,000 | \$ 887,375,000 | \$ 844,576,000 | \$ 428,441,000 | \$ 103,476,245 | \$ 90,928,968 | | | | | | |
| Herbert J. Sims & Co., Inc. | \$ 109,464,000 | | | | | | | | | | | |
| Huntleigh Securities Corporation | \$ 14,791,000 | \$ 12,300,000 | \$ 14,841,000 | | | | | | | | | |
| Independent Financial Group, LLC | \$ 64,166,859 | \$ 51,144,979 | | | | | | | | | | |
| ING Financial Partners, Inc. ("Voya") | | | | | | | | \$ 91,383,405 | \$ 130,031,789 | \$ 124,184,469 | \$ 122,140,698 | \$ 106,698,945 |
| IFS Securities | | | | | | \$ 226,825,884 | \$ 114,381,628 | \$ 69,957,984 | \$ 58,232,300 | \$ 34,770,882 | \$ 15,050,729 | \$ 8,453,964 |
| Insigneo Securities, LLC | \$ 669,350,000 | \$ 620,561,000 | \$ 700,292,000 | | | | | | | | | |
| Insigneo International Financial Services, LLC | \$ 3,949,000,000 | | | | | | | | | | | |
| Investment Planners, Inc. | \$ 307,000 | \$ 248,000 | \$ 202,000 | | | | | | | | | |
| Kovack Securities, Inc. | \$ 317,653,000 | \$ 254,926,000 | \$ 260,682,000 | | | | | | | | | |
| Lincoln Financial Securities Corporation | \$ 119,604,000 | \$ 97,832,000 | \$ 98,212,000 | \$ 74,866,000 | \$ 58,491,000 | \$ 45,468,000 | \$ 21,387,000 | \$ 17,241,000 | \$ 17,234,000 | \$ 18,630,000 | \$ 16,679,000 | \$ 12,141,000 |
| Merrill Lynch, Pierce, Fenner & Smith, Inc. | \$ 10,362,204,938 | \$ 9,020,347,611 | \$ 9,091,756,396 | \$ 8,214,783,355 | \$ 7,403,289,466 | \$ 6,495,993,811 | \$ 5,717,383,418 | \$ 4,919,428,398 | \$ 4,643,362,645 | \$ 4,488,921,254 | \$ 3,900,450,957 | \$ 4,169,217,270 |
| MML Investors Services, LLC | \$ 424,109,000 | \$ 298,744,000 | \$ 299,422,000 | \$ 216,561,000 | \$ 174,890,000 | \$ 132,661,000 | \$ 128,932,000 | \$ 103,078,000 | \$ 68,900,000 | \$ 64,004,000 | | |
| Mutual of Omaha Investor Services, Inc. | \$ 20,816,000 | \$ 17,057,000 | \$ 23,594,000 | | | | | | | | | |
| Nationwide Planning Associates, Inc. | \$ 1,033,851,000 | \$ 880,444,000 | \$ 1,057,555,000 | \$ 965,477,000 | \$ 850,406,000 | \$ 737,975,000 | \$ 474,433,000 | \$ 240,859,241 | | | | |
| Oppenheimer & Co., Inc. | \$ 2,343,259,000 | \$ 1,742,830,000 | \$ 1,090,487,000 | | | | | | | | | |
| Oriental Financial Services, LLC | \$ 2,190,471,000 | \$ 1,974,649,000 | \$ 2,283,067,000 | \$ 2,330,015,000 | \$ 2,247,930,000 | \$ 1,987,928,000 | \$ 2,116,767,000 | \$ 2,204,212,000 | \$ 2,231,871,000 | \$ 2,500,182,000 | \$ 2,381,054,000 | \$ 2,135,842,000 |
| Pariter Securities, LLC | \$ 3,904,000 | \$ 3,105,000 | \$ 3,611,000 | \$ 3,816,000 | \$ 8,786,000 | \$ 6,231,000 | \$ 7,189,000 | \$ 1,109,488 | | | | |
| PeachCap Securities, Inc. | \$ 709,000 | | | | | | | | | | | |
| PFS Investments, Inc. | \$ 632,775,000 | | | | | | | | | | | |
| Popular Securities, LLC | \$ 8,199,827,000 | \$ 6,171,407,000 | \$ 5,946,995,000 | \$ 5,504,294,000 | \$ 5,534,176,000 | \$ 4,826,935,000 | \$ 4,129,098,000 | \$ 4,187,486,000 | \$ 3,895,940,000 | \$ 4,394,094,000 | \$ 4,050,453,000 | \$ 4,633,289,000 |
| Raymond James Financial Services, Inc. | \$ 341,548,063 | \$ 294,272,612 | \$ 328,914,141 | \$ 259,665,300 | \$ 236,139,215 | \$ 218,412,989 | \$ 191,836,340 | \$ 132,682,957 | \$ 121,461,674 | \$ 112,366,166 | \$ 97,361,726 | \$ 73,517,303 |
| RD Capital Group, Inc. | \$ 76,697,000 | \$ 69,816,000 | \$ 55,777,000 | \$ 67,595,000 | \$ 58,707,000 | \$ 64,441,000 | \$ 71,236,000 | \$ 74,625,000 | \$ 81,791,000 | \$ 129,887,000 | \$ 88,238,000 | |
| Samuel A. Ramirez & Company, Inc. | \$ 289,788,000 | \$ 261,604,000 | \$ 220,880,000 | \$ 271,412,000 | \$ 241,348,000 | \$ 230,782,000 | \$ 168,911,000 | \$ 148,068,000 | \$ 163,841,000 | \$ 199,909,000 | \$ 143,988,000 | \$ 130,379,000 |
| Santander Securities, LLC | | \$ 1,137,000 | \$ 1,139,000 | \$ 11,958,000 | \$ 286,507,000 | \$ 327,755,000 | \$ 1,805,338,000 | \$ 2,332,902,000 | \$ 2,806,474,000 | \$ 4,302,468,000 | \$ 4,521,096,000 | \$ 5,652,265,000 |
| Stonecrest Capital Markets, Inc. | \$ 1,323,666,000 | \$ 554,627,000 | \$ 353,778,000 | \$ 258,758,017 | | | | | | | | |
| Supreme Alliance, LLC | \$ 1,055,000 | \$ 1,029,000 | | | | | | | | | | |
| Tower Square Securities | | | | | | | | | | | | \$ 936,000 |
| Triad Advisors, LLC | \$ 28,429,000 | \$ 22,358,000 | | | | | | | | | | |
| UBS Financial Services, Inc. of PR | \$ 7,624,893,000 | \$ 6,919,381,000 | \$ 8,274,478,000 | \$ 7,916,000,000 | \$ 6,603,725,000 | \$ 6,887,984,000 | \$ 6,598,846,000 | \$ 7,464,349,000 | \$ 7,754,558,000 | \$ 10,069,913,000 | \$ 11,631,495,000 | \$ 16,393,524,000 |
| Universal Financial Services, Inc. | \$ 7,195,000 | \$ 13,270,524 | \$ 16,668,495 | \$ 16,192,623 | \$ 15,896,142 | \$ 16,932,266 | \$ 19,417,816 | \$ 18,105,280 | \$ 17,221,986 | \$ 17,589,246 | \$ 12,120,783 | \$ 8,548,275 |
| TOTAL | \$ 50,197,919,552 | \$ 36,598,262,134 | \$ 37,757,704,794 | \$ 29,502,564,995 | \$ 26,053,167,151 | \$ 24,177,800,563 | \$ 23,502,589,761 | \$ 23,677,925,503 | \$ 23,815,085,388 | \$ 28,334,874,963 | \$ 28,695,301,111 | \$ 35,238,699,320 |
| | \$50.2 billions | \$36.6 billions | \$37.8 billions | \$29.5 billions | \$26.1 billions | \$24.2 billions | \$23.5 billions | \$23.7 billions | \$23.8 billions | \$28.3 billions | \$28.7 billions | \$35.2 billions |

“Los datos que se muestran en este informe corresponden única y exclusivamente a las actividades en Puerto Rico y han sido provistos a la OCIF por la industria financiera, como parte del seguimiento y supervisión continua que la OCIF mantiene sobre la industria. La información publicada en este informe podrá ser modificada y/o enmendada de tiempo en tiempo, según sea necesario. Este informe no necesariamente se actualizará diariamente o con alguna frecuencia específica. La OCIF no será responsable en caso de que surja alguna incongruencia en la data presentada en el informe publicado”.

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Amounts in Millions (\$,000,000)



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