



**COMMONWEALTH OF PUERTO RICO**  
**COMMISSIONER OF FINANCIAL INSTITUTIONS**  
**Centro Europa Building, Suite 600**  
**1492 Ponce de León Avenue**  
**San Juan, PR 00907-4127**  
**Tel. (787) 723-8403 Fax. (787) 724-2604**

**NOTICE OF ENGAGEMENT OR TERMINATION OF SERVICES OF AN AGENT**

Notice is hereby given to the Office of the Commissioner of Financial Institutions, pursuant to Section 201 (b) of the Puerto Rico Uniform Securities Act.

I, \_\_\_\_\_ have been engaged or terminated (strike inapplicable

word) as agent of \_\_\_\_\_

Name of Issuer

\_\_\_\_\_  
Signature of Agent

By \_\_\_\_\_  
Name and Title

\_\_\_\_\_  
Address of Agent

Dated and signed this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_  
Year

**INSTRUCTION:** In the event of a termination of services the agent may attach a separate letter to the Commissioner explaining the reason for the termination of services, if he so desires. Such letters will be kept confidential by the Commissioner.