



GOVERNMENT OF PUERTO RICO
OFFICE OF THE COMMISSIONER OF FINANCIAL INSTITUTIONS

**AGENTS
DUAL REGISTRATION CONSENT**

I, _____, an agent of _____, request written consent to act as an agent.

- _____ I am currently
_____ I am in the process of registration

Such registration is in the name of _____
Corporation, Partnership or Sole or Proprietor

I understand that I must submit the following documents to _____
Broker-dealer

- Copy of current BD Form
- Copy of most recently filed BD Form (renewal form)
- Sales Brochures, If any
- Proof of SEC and State registrations (copy of approval letter of certificate)

Date

Signature

Agent (print name)

_____ does hereby consent to the dual registration of the above
Broker-dealer
agent as a registered representative in both firms.

Date

Broker-dealer

Print name and title