Berardo Roran

Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Abenses - NTPC Consortion
Overall Rating:	6.5
Narrative:	

#### **Evaluation Scores**

Compliance with Requirements of the PPP Act and Act 120 $(Pass)/Fail$ SOQ Requirements and Procedures $(Pass)/Fail$ Background & Team Information (25%) $(Pass)/Fail$ Financial Capabilities (10%) $(Pass)/Fail$ Technical & Operational Capabilities (50%) $(Pass)/Fail$ Safety Performance (15%) $(Pass)/Fail$	aums	Summary Evaluation
ocedures mation (25%)  6) apabilities (50%)	Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
mation (25%) 6) apabilities (50%)	SOQ Requirements and Procedures	(Pass)/Fail
apabilities (50%)	Background & Team Information (25%)	<u>5.2</u> /10
apabilities (50%)	Financial Capabilities (10%)	5,9/10
	Technical & Operational Capabilities (50%)	6.9/10
	Safety Performance (15%)	8_/10

# 1. Compliance with Requirements of PPP Act and Act 120

The second state of the second		Approximate the second of the
<i>y</i>	P-25	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
J	p <sub>c</sub> >>	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
~	Pess	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Pess	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
~	$\rho_{c}$	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pail Comments	Pass/Fail	Requirements
w to the satisfaction of the Partnership Committee	sted belo	Pass / Fail for Section: Pass / Fail for Section: Pass means that Respondent complied with the requirements listed below to the satisfaction
P Act and Act 120	its of PPI	Compliance with Requirements of PPP Act and Act 120

# 2. SOQ Requirements and Procedures

( ) (

	Pess	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	ردی	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	P=55	SOQ is delivered no later than the Submission Deadline
	7255	Executive Summary (two pages maximum)
n-septement	0,23	Table of Contents
	8000	Cover Letter (two pages maximum)
	Pers	Cover page (to include identification of all management Team Members)
	Pess	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
he satisfaction of the Partnership Committee	ed below to th	Pass means that Respondent complied with the requirements listed below to the satisfaction
		Pass / Fail for Section:
	ements	SOQ Requirements

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

weaknesses are identified and need to be corrected Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	<ul> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>
		1	<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
		<u> </u>	<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	Z		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, exclu 11 excess of 1	5 pages maxi es submitted ii	(The PPP Committee will not review pag
	0-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Info	ckground &	Ba

	~	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	6	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
			<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
			<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
	Score	Weighting	Requirements
(15 pages maximum, excluding resumes)  ges submitted in excess of the maximum number of pages indicated for such item)	num, exclu	5 pages maxing symbol in	(The PPP Committee will not review pages submitted in excess of the maximum number
Background & Team Information (25%) Section Score (0-10):	Team Info	Background & Team Section Score (0-10):	Ba

## 4. Financial Capabilities

·	6	30%	<ul> <li>C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information</li> </ul>
The state of the s	~	40%	<ul> <li>B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts</li> </ul>
	7	30%	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
(10 pages maximum, excluding audited financials & supporting information) will not review pages submitted in excess of the maximum number of pages indicated for such item) Weighting Score Comments	ed financi: cess of the Score	cluding audit bmitted in exc Weighting	(10 pages maximum, excluding audited financials & supporting (The PPP Committee will not review pages submitted in excess of the maximum numb  Requirements Weighting Score
	-10):	Section Score (0-10):	Sec
(10%)	pabilities	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	(50 pages m	(50 pages maximum)	
(The PPP Committee will not review pages submitted in excess of the maximum number of	bmitted in exc	ess of the max	amum number of pages indicated for such item)
Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
<ul> <li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li> </ul>	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	

9 <u>'</u>Tj H. A demonstrable history of compliance with energy Ţ Managing fuel supply for similar or similarly situated Certification of no significant or sustained state, commission, or other regulatory body related policies, practices, and regulations from a fines/violations; environmental regulation violations or OSHA subcontractors have performed similar work as Names of the locations where the Respondent or generating facilities, including delivery and storage described above; and fuel quality testing; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% ø, Score  $\supset$ 9 0 Comments

### 6. Safety Performance

	Safety Perforn Section Score (0-10): Weighting Score	Safety Performance (15%)  a Score (0-10):  hting Score
 Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies		
A. Demonstrated ability to address and resolve safety issues	30%	7
 <ul> <li>B. Demonstrated knowledge of safety strategies and methodologies</li> </ul>	40%	80
 C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	-5

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Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Beowolf Energy LLC
Overall Rating:	6.6
Narrative:	
	The state of the s

#### **Evaluation Scores**

Summary Evaluation	Evaluation
Compliance with Requirements of the PPP Act and Act 120	(Pass)/ Fail
SOQ Requirements and Procedures	Pass Fail
Background & Team Information (25%)	$\frac{7.3}{10}$
Financial Capabilities (10%)	<u>D</u> /10
Technical & Operational Capabilities (50%)	7.7/10
Safety Performance (15%)	6.6/10

# 1. Compliance with Requirements of PPP Act and Act 120

		- individual individual individual international individual international individual ind
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	Pass	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
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	P= 55	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Il Comments	Pass/Fail	Requirements Score
to the satisfaction of the Partnership Committee	'ed below t	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
Act and Act 120	s of PPP A	Compliance with Requirements of PPP Act and Act 120

# 2. SOQ Requirements and Procedures

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	۲۵۶	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	Pess	SOQ is delivered no later than the Submission Deadline
	(C )	Executive Summary (two pages maximum)
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	Pess	Cover Letter (two pages maximum)
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## 3. Background & Team Information

		· · · · · · · · · · · · · · · · · · ·	Instances of working with Spanish-speaking workforces
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			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
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Comments	Score	Weighting	Requirements
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	0-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Info	ckground &	Вз

Puerto Rico Electric Power Authority - Legacy Generation SOQ - P3A Project Evaluation Worksheet

Privileged and Confidential

	ā	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
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A THE PARTY OF THE	The state of the s		<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
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the maximum number of pages indicated for such item)  Comments	excess of i	s submitted in  Weighting	(The PPP Committee will not review pages submitted in excess of the maximum number  Requirements Weighting Score
ection Score (0-10):  15 pages maximum, excluding resumes)	-10): num, exclu	Section Score (0-10): (15 pages maximum,	Se (1
Background & Team Information (25%)	Ceam Info	ckground & 1	Ba

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	-10):	Section Score (0-10):	Se
(10%)	pabilities	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

				್ಷ ಜ್ಞ			
p.	C.	'n	. A	Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
Demonstr technolog outages a achieve the Operator;	Experience with exe decommissioning, in qualified subcontrac generation facilities	Repairing and/or reg generating facilities	Concurre (2) or mo larger tha MW com facilities;	es to fi		(I	
strated logy ge and ne the op	ence w mission ed subc	ing and ting fac	rently nore oi han 10 mbine ss;	st demo		he PP	
ability neratic nainten peratiny	ith exe ning, in contract filities	or repilities	operati 1 and/o 0 MW, d of oi	nstrate e terms must p	Requ	P Con	
to saf in facil ance pi g objec	cution cluding cors to	lacing	ng and r gas-f or (ii) and/o	its tec of the rovide	Requirements	nnittee	
ely ope ities an rogram tives o	or over g the pr decom	oil and	mainta ired gei a fleet a gas-fi	hnical PPP C	nts	e will r	
Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission powe generation facilities	Repairing and/or replacing oil and/or gas-fired generating facilities	nining on eration of at learning of at learning of at learning red gen	and op ontract		not rev	
nilar aging der to &D	Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	-fired	Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	eration . At lea		іеж рс	Te
	<b>-</b>		i) two ties 00	ast a		iges su	chnic: Sectio
19		1	2		Wei	(5 ibinitte	al & C n Scor
20%	10%	10%	20%		Weighting	0 page d in ex	echnical & Operation Section Score (0-10):
J	, 4	7	9		Score	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages)	Technical & Operational Capabilities (50%) Section Score (0-10):
					)re	num) The mo	apabil
						ximux	lities (
						n numi	50%)
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	·				Comi	indicc	
					Comments	pages indicated for such item)	
						r such	
						item)	
	<u> </u>		<u></u>	High in section			

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.<del>T</del> Ċ E. Managing fuel supply for similar or similarly situated A demonstrable history of compliance with energy Certification of no significant or sustained Names of the locations where the Respondent or related policies, practices, and regulations from a environmental regulation violations or OSHA subcontractors have performed similar work as generating facilities, including delivery and storage state, commission, or other regulatory body fines/violations; and fuel quality testing; described above; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% 5 Score ÇÇ. Š Comments

## 6. Safety Performance

	Safety Perforn Section Score (0-10):	Safety Performance (15%) n Score (0-10):	2 (15%)
Requirements Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Weighting	Score	Comments
A. Demonstrated ability to address and resolve safety issues	30%	8	
<ul> <li>B. Demonstrated knowledge of safety strategies and methodologies</li> </ul>	40%	J	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	2	

Cenardo Roins

Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	CAIMS, LLC
) Overall Rating:	6.7
Varrative:	

### **Evaluation Scores**

Summary	Summary Evaluation and the second sec
Compliance with Requirements of the PPP Act and Act 120	(Pass) Fail
SOQ Requirements and Procedures	Pass (Fail )
Background & Team Information (25%)	<u>6.3</u> /10
Financial Capabilities (10%)	$\frac{4.2}{10}$
Technical & Operational Capabilities (50%)	7.3/10
Safety Performance (15%)	7_/10
- WHATEHWATER	

# 1. Compliance with Requirements of PPP Act and Act 120

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l Comments	Pass/Fail	Requirements Score
o the salisfaction of the Partnership Committee	ed below to	Pass / Fail for Section:  Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
ct and Act 120	of ppp A	Compliance with Requirements of PPP Act and Act 120

# 2. SOQ Requirements and Procedures

SOQ Requirements Pass / Fail for Section:	ements	
plied	ed below to th	e satisfaction of the Partnership Committee
Respondents shall comply with the SOQ format requirements:	7 450 X 444	COMMISSION
SOQ must be prepared in English	Dess	
Cover page (to include identification of all management Team Members)	$\rho_{e}$	
Cover Letter (two pages maximum)	10cs >	
Table of Contents	P-22	
Executive Summary (two pages maximum)	Pess	
SOQ is delivered no later than the Submission Deadline	Fall	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pss	
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information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)	<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>	<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>	<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>	A. Respondent shall provide a description of the Respondent and all Team Members that identifies	Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities	Requirements Weighting S	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum numbe	Section Score (0-10):	Daving Office Franchis
					7		Score Comments	(15 pages maximum, excluding resumes) zes submitted in excess of the maximum number of pages indicated for such item)	):	Davigionna or ream amormation (25/0)

Puerto Rico Electric Power Authority - Legacy Generation SOQ - P3A Project Evaluation Worksheet

Privileged and Confidential

	0	10%	C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage
	7	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
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			<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
Comments	Score	Weighting	Requirements
ling resumes) ne maximum number of pages indicated for such item)	num, exclud excess of th	(15 pages maximum, excluding resumes) ges submitted in excess of the maximum n	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Ceam Infori	ekground & T	Ва

## 4. Financial Capabilities

- THATALA	-		The state of the s
			(2) years, together with any other relevant financial information
THE PARTY OF THE P	-2	30%	<ul> <li>C. Copies of financial statements (audited preferred), Form 10- Ks, 20-Fs or similar types of annual reports for the past two</li> </ul>
	ò	40%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	d	30%	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
(10 pages maximum, excluding audited financials & supporting information) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)  Requirements  Weighting Score  Comments	ed financial cess of the n	cluding audit bmitted in exc Weighting	(10 pages maximum, excluding audited financials & supporting (The PPP Committee will not review pages submitted in excess of the maximum numb  Requirements  Weighting Score
	-10):	Section Score (0-10):	Se
10%)	pabilities (	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	3		
	4	20%	D. Demonstrated ability to safely operate similar
	a	10%	©. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	2	10%	<ul> <li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li> </ul>
	2	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	(50 pages maximum)	(50 pages bmitted in exc	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of
lities (50%)	onal Capabi ):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Sectio

Technical & Operational Capabilities (50%) Section Score (0-10):

(50 pages maximum)
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

	Requirements	Weighting	Score	Comments
'n	<ul> <li>E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;</li> </ul>	20%	7	
<u>ب</u>	<ul> <li>F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;</li> </ul>	5%	%	
 G.	<ul> <li>G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;</li> </ul>	10%	νį	
H.	H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	70	

## 6. Safety Performance

Lippopumusaa poppumusa siisisissi suuraamassi markaisissi suuraamassi siiristiistassa siiristiistassa siiristi	C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and 40% 7 methodologies	A. Demonstrated ability to address and resolve safety issues  7	Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Section Score (0-10):  Requirements Weighting Score
					Comments

Cerardo Roscio

Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Overall Rating: 7.6	Respondent:	En Electrice, L.P.
Narrative:	Overall Rating:	7
	Narrative:	

### **Evaluation Scores**

Compliance with Requirements of the PPP Act and Act 120         Pass/ Fail           SOQ Requirements and Procedures         (Pass/ Fail)           Background & Team Information (25%)         7.3/10           Financial Capabilities (10%)         8.6/10           Technical & Operational Capabilities (50%)         7.1/10           Safety Performance (15%)         4.3/10	The second of th	Summary Evaluation
ocedures mation (25%)  6) apabilities (50%)	Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
mation (25%) 6) apabilities (50%)	SOQ Requirements and Procedures	(Pass) Fail
apabilities (50%)	Background & Team Information (25%)	7.3/10
apabilities (50%)	Financial Capabilities (10%)	<u>8,6</u> /10
	Technical & Operational Capabilities (50%)	7.1/10
THE PROPERTY OF THE PROPERTY O	Safety Performance (15%)	$\frac{q_{.3}}{10}$

# 1. Compliance with Requirements of PPP Act and Act 120

Association of the second seco		
	vledgement & りつう	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
	ment & Contact	(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
	nt and Contact fember (executed e communication   P->>	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	complies with $\beta$	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
	ers Certification	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	e Puerto Rico   P<>>)	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
	ers Certification	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	perly registered, Rico at the time $\rho$	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pass/Fail Comments	Score Pass	Requirements
w to the satisfaction of the Partnership Committee	Pass / Fail for Section:	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
P Act and Act 120	Compliance with Requirements of PPP Act and Act 120	Compliance wi

# 2. SOQ Requirements and Procedures

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		The state of the s
	Pm	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	Per	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	tos	SOQ is delivered no later than the Submission Deadline
	Pess	Executive Summary (two pages maximum)
	Pess	Table of Contents
	Pos	Cover Letter (two pages maximum)
	P=>>	Cover page (to include identification of all management Team Members)
	Pcss	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
e satisfaction of the Partnership Committee	ed below to th	that Respondent compli
And the second s		Pass / Fail for Section:
	ements	SOQ Requirements

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected. Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

## 3. Background & Team Information

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		, , , , , , , , , , , , , , , , , , ,	<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
	%	70%	Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
		# <b>*</b>	<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	7		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
re Comments	nting Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	maximum, ex tted in excess	(15 pages ges submii	(The PPP Committee will not review pa
	Section Score (0-10):	ection Sc	\$
Background & Team Information (25%)	ıd & Team Ir	ackgroun	

	6/	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	7	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
			<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
			<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	num, excluc	5 pages maxii s submitted in	(The PPP Committee will not review pages submitted in excess of the maximum number
	)-10):	Section Score (0-10):	Se.
Background & Team Information (25%)	Team Infor	ckground & '	The second secon

### 4. Financial Capabilities

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Sec	Section Score (0-10):	-10):	
(10 pages maximum, excluding audited financials & supporting the propertion of the propertion of the maximum num	scluding audit	ed financi: ess of the	als & supporting information) maximum number of pages indicated for such item)
(The PPP Committee will not review pages su  Requirements	Weighting	ess of the	(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)  Requirements Weighting Score Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10- Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	<sup>30%</sup>	Ó	

# 5. Technical & Operational Capabilities

	6	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	6	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	6	10%	B. Repairing and/or replacing oil and/or gas-fired generating facilities
	6	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	ore (0-10):	Section Score (0-10):  Section Score (0-10):  (50 pages maximum)  pages submitted in excess of the maximum num	Section Score (0-10):  Section Score (0-10):  (50 pages maximum)  (The PPP Committee will not review pages submitted in excess of the maximum number of
Titles (FOC)	omol Comolki	al & Openati	Tachnic

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H Ω Ή. A demonstrable history of compliance with energy Certification of no significant or sustained Names of the locations where the Respondent or Managing fuel supply for similar or similarly situated state, commission, or other regulatory body related policies, practices, and regulations from a fines/violations; environmental regulation violations or OSHA described above; subcontractors have performed similar work as and fuel quality testing; generating facilities, including delivery and storage (50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting 10% 20% 5% 5% Score -0) -3 V Q-Comments

#### 6. Safety Performance

C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and methodologies	A. Demonstrated ability to address and resolve safety issues	nstrate their ability to sir knowledge of	Sec Requirements
30%	40%	30%		Safety Perforn Section Score (0-10): Weighting Score
(0	70	8		Safety Performance (15%)  n Score (0-10):  thing Score
				e (15%)  Comments

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Page 1

16/20

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Engrex	Engrey benerobra & Electricaled Heiner, S. 1.	A	Elpotricide d	Hames S. 1.
Overall Rating:					
Narrative:				AMARIAN PARTY PART	A CONTRACTOR OF THE CONTRACTOR

#### **Evaluation Scores**

Summary Evaluation	Evaluation of the second of th
Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
SOQ Requirements and Procedures	Pass\ Fail
Background & Team Information (25%)	7.6/10
Financial Capabilities (10%)	8.8/10
Technical & Operational Capabilities (50%)	<u>8,2</u> /10
Safety Performance (15%)	<u>\S/10</u>

# 1. Compliance with Requirements of PPP Act and Act 120

Pess	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
	(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the $RFQ$ )
Dec. 1	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
(A)	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
•	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
Pro	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
YC)	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pass/Fail Comments	
pelow to the satisfaction of the Partnership Committee	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
PPP Act and Act 120	Compliance with Requirements of PPP Act and Act 120

## 2. SOQ Requirements and Procedures

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SOQ Requirements	ements	
Pass / Fail for Section: Pass means that Respondent complied with the requirements listed below to the satisfaction	ed below to th	e satisfaction of the Partnership Committee
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	CCV	Add the design of the second o
Cover page (to include identification of all management Team Members)	Pc>>	
Cover Letter (two pages maximum)	Pess	
Table of Contents	P. 53	
Executive Summary (two pages maximum)	Pe >>	
SOQ is delivered no later than the Submission Deadline	Pess	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of		
the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pas	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	ردو	

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected. Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

3

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	Ø		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Comminee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, excluc	5 pages maxi ss submitted in	() (The PPP Committee will not review page
	0-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Infor	ckground &	Вз

Bac	ckground &	Team Infor	Background & Team Information (25%)
Sec	Section Score (0-10):	-10):	
	(15 pages maximum, excluding resumes)	num, exclud	ling resumes)
(The PPP Committee will not review pages submitted in excess of the maximum number	s submitted in	excess of th	e maximum number of pages indicated for such item)
Requirements	Weighting	Score	Comments
<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).			
B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions	20%	0/	
<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>	10%	0	

### 4. Financial Capabilities

L CONTRACT			- Avelove - Avel
			information
	69		Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial
		30%	C. Copies of financial statements (audited preferred), Form 10-
- Address			credit quality comparable to those used by credit analysts
	~	· ·	for each Team Member, or historical metrics supporting
	!	40%	B Excidence of credity orthinese such as credit ratings if any
			direct pay letter(s) of credit
	ે		security in the form of an unconditional and irrevocable
Annual Mary Control of the Control o	•	30%	A. Evidence of the financial capability to obtain operational
			Respondent or at least one (1) Team Member must provide:
			financial wherewithal to fulfill the terms of the PPP Contract. Each
			Financial Canacity of Team: Respondent must demonstrate adequate
Comments	Score	Weighting	Requirements
maximum number of pages indicated for such item)	ess of the	ıbmitted in exc	(The PPP Committee will not review pages submitted in excess of the maximum num
(10 pages maximum, excluding audited financials & supporting information)	ed financi:	cluding audit	(10 pages maximum, e:
	-10):	Section Score (0-10):	Se
(10%)	pabilities :	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	B. Repairing and/or replacing oil and/or gas-fired generating facilities	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:	Requirements	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages)	Technical & Operational Capabilities (50%) Section Score (0-10):
20%	10%	10%	20%		Weighting	(50 pages itted in exc	echnical & Operation Section Score (0-10):
(0)	7	6	7		Score	(50 pages maximum) ted in excess of the m	nal Capabi :
					Comments	aximum number of pages indicated for such item)	lities (50%)

ဂ Ή, H. A demonstrable history of compliance with energy E. Managing fuel supply for similar or similarly situated Certification of no significant or sustained Names of the locations where the Respondent or subcontractors have performed similar work as related policies, practices, and regulations from a fines/violations: environmental regulation violations or OSHA and fuel quality testing; generating facilities, including delivery and storage state, commission, or other regulatory body described above; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 10% 20% 5% 5% Score Ĉ S 7 Comments

#### 6. Safety Performance

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C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and $y$ methodologies	A. Demonstrated ability to address and resolve safety issues  8	Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Section Score (
			Comments	

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Enctura	(SPEV Consortion)
Overall Rating:	2,3	
Narrative:		
***		

#### **Evaluation Scores**

Summary	Summary Evaluation
Compliance with Requirements of the PPP Act and Act 120	Pass (Fail)
SOQ Requirements and Procedures	Pass (Fail)
Background & Team Information (25%)	4.5/10
Financial Capabilities (10%)	<u>O</u> _/10
Technical & Operational Capabilities (50%)	2.4/10
Safety Performance (15%)	<u>O</u> / 10
The second secon	The second secon

# 1. Compliance with Requirements of PPP Act and Act 120

F., 1	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
	(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
T)	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Fal	cation that complies with
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
H:1	applicable Puerto Rico
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Fa	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pass/Fail Comments	
PPP Act and Act 120 below to the satisfaction of the Partnership Committee	Compliance with Requirements of PPP Act and Act 120  Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction

## 2. SOQ Requirements and Procedures

	W-Transfer III	
	pess	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	27	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	Psys	SOQ is delivered no later than the Submission Deadline
	Fel	Executive Summary (two pages maximum)
- Anna	Pess	Table of Contents
	Pezz	Cover Letter (two pages maximum)
	Fe,1	Cover page (to include identification of all management Team Members)
ALLEGATION TO THE PARTY OF THE	Pess	SOQ must be prepared in English
A STATE OF THE STA		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
ne satisfaction of the Partnership Committee	ed below to th	Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee
	ments	Pass / Fail for Section:

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
		<u> </u>	<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	$\sim$		<ul> <li>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</li> </ul>
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, exclu	l 5 pages maxi es submitted ii	() (The PPP Committee will not review page)
	0-10):	Section Score (0-10):	Sc
Background & Team Information (25%)	Team Info	ckground &	B <sub>3</sub>

	0		
	7	10%	C. Respondent submits a list of all technical, financial,
			,
			electric generation managerial experience for all executive-level positions
	•		individuals with at least ten (10) years of relevant
	V		Individuals, including Spanish-speaking skills. The anticipated management team is comprised of
Advisoration and the second se		20%	B. Respondent provides resumes for specific Key
			Private Partner (to the extent known at this time).
			the PPP Contract in the case where Respondent is not the
			as the Frivate Farther. Description also includes the entity expected to guarantee the Private Parther's performance under
	,,,,,,,		to submit the response to the RFP and execute the PPP Contract
			relevant descriptions, whether Respondent is the entity expected
A Company of the Comp			experience with operating agreements)
			experience in electric power generation (including
			Evidence and tenor of operations and management
			•
			<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
Comments	Score	Weighting	Requirements
he maximum number of pages indicated for such item)	excess of th	s submitted in	(The PPP Committee will not review pages submitted in excess of the maximum number
(15 pages maximum, excluding resumes)	num, exclud	5 pages maxir	
	-10):	Section Score (0-10):	The second of th
		2	
Background & Team Information (25%)	Feam Infor	kground &	Ba

### 4. Financial Capabilities

		Address of the Control of the Contro	
	•		Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information
	0	30%	C. Copies of financial statements (audited preferred), Form 10-
		744404111000000000000000000000000000000	for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	В	40%	B. Evidence of creditworthiness, such as credit ratings, if any,
	,		direct pay letter(s) of credit
	<i></i>	30%	<ul> <li>A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable</li> </ul>
			Respondent or at least one (1) Team Member must provide:
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each
Comments	Score	Weighting Score	Requirements
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	ess of the	bmitted in exc	(The PPP Committee will not review pages su
ıls & supporting information)	ed financia	cluding audite	(10 pages maximum, excluding audited financials & supporting
	10).	inom Scott (o	
	-10)-	Section Score (0-10).	Sec
(10%)	pabilities (	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	ب	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	2	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	7	10%	B. Repairing and/or replacing oil and/or gas-fired generating facilities
	2	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	(50 pages maximum) ted in excess of the m	(50 pages ubmitted in ex	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number o
lities (50%)	onal Capab 0):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Secti

t

H. A demonstrable history of compliance with energy Ö ;Tj ļΠ Certification of no significant or sustained Managing fuel supply for similar or similarly situated state, commission, or other regulatory body related policies, practices, and regulations from a subcontractors have performed similar work as Names of the locations where the Respondent or environmental regulation violations or OSHA and fuel quality testing; generating facilities, including delivery and storage fines/violations; described above; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting 20% (50 pages maximum) 10% 5% 5% Score  $\sim$ 4 Comments

### 6. Safety Performance

C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and methodologies 40%	A. Demonstrated ability to address and resolve safety issues	Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Sectio
0	2	0		Safety Performance (15%) n Score (0-10): thting Score
				(15%) Comments

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:/ Overall Rating:	Respondent: Encarto Power LLC  Overall Rating: 7,5	Power	777	A PROPERTY OF THE PROPERTY OF		
Narrative:	A CONTRACTOR OF THE CONTRACTOR				1000000	

#### **Evaluation Scores**

Summary Evaluation	yaluation and a second response of the second
Compliance with Requirements of the PPP Act and Act 120	Pass)/ Fail
SOQ Requirements and Procedures	Pass/Fail
Background & Team Information (25%)	6.4/10
Financial Capabilities (10%)	6.9/10
Technical & Operational Capabilities (50%)	8_/10
Safety Performance (15%)	<u>8.3</u> /10

# 1. Compliance with Requirements of PPP Act and Act 120

2)	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded. γο,
	(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
<b>y</b>	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
<b>y</b>	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
•	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements $\rho$
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Po>	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pass/Fail Comments	
ow to the satisfaction of the Partnership Committee	$oldsymbol{ ext{Pass}}$ / Fail for Section: Pass means that Respondent complied with the requirements listed below to the satisfaction
PP Act and Act 120	Compliance with Requirements of PPP Act and Act 120

## 2. SOQ Requirements and Procedures

	Walioto W. T.	
	pr>>	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	Pass	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
THE TAXABLE PROPERTY OF TAXABLE PR	P(2)	SOQ is delivered no later than the Submission Deadline
THE PARTY OF THE P	Pess	Executive Summary (two pages maximum)
- AND	\$233	Table of Contents
	Pess	Cover Letter (two pages maximum)
	Pess	Cover page (to include identification of all management Team Members)
LANGE OF THE PARTY	Pezz	SOQ must be prepared in English
A CALLEGE CONTROL OF THE CALLEGE CONTROL OF T		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
e salisfaction of the Partnership Committee	ed below to th	Pass / Fail for Section:
	ments	SOQ Requirements
		The second secon

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

weaknesses are identified and need to be corrected. Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

### 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	9		<ul> <li>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</li> </ul>
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
ding resumes) he maximum number of pages indicated for such item)	mum, excluc	(15 pages maximum, excluding resumes) ges submitted in excess of the maximum r	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum numbe
	)-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Infor	ckground &	

	69	10%	C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage
	6	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
		111101111	<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
	-		<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	num, excluc	5 pages maxii s submitted in	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Feam Infor	ekground &	Ba

### 4. Financial Capabilities

TO THE TOTAL PARTY.			information
	Ö		Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial
		30%	C. Copies of financial statements (audited preferred), Form 10-
	1000		credit quality comparable to those used by credit analysts
	<b>6</b>		for each Team Member, or historical metrics supporting
	*-	40%	B. Evidence of creditworthiness, such as credit ratings, if any,
			direct pay letter(s) of credit
	٠,		security in the form of an unconditional and irrevocable
		30%	A. Evidence of the financial capability to obtain operational
			Respondent or at least one (1) Team Member must provide:
			financial wherewithal to fulfill the terms of the PPP Contract. Each
			Financial Capacity of Team: Respondent must demonstrate adequate
Comments	Score	Weighting	Requirements
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	cess of the	ibmitted in exc	(The PPP Committee will not review pages s
(10 pages maximum, excluding audited financials & supporting information)	ed financia	scluding audit	(10 pages maximum, e
	-10):	Section Score (0-10):	Se
(10%)	pabilities (	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	7	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	ď	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	7	10%	B. Repairing and/or replacing oil and/or gas-fired generating facilities
	∞	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	ore (0-10):	Section Score (0-10):  Section Score (0-10):  (50 pages maximum)  pages submitted in excess of the maximum num	Section Score (0-10):  Section Score (0-10):  (50 pages maximum)  (The PPP Committee will not review pages submitted in excess of the maximum number of
lities (\$60%)	anal Canabi	al & Onerati	Technic

1.

Ħ Ħ H. A demonstrable history of compliance with energy <u>0</u> Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage Certification of no significant or sustained environmental regulation violations or OSHA subcontractors have performed similar work as Names of the locations where the Respondent or and fuel quality testing; state, commission, or other regulatory body related policies, practices, and regulations from a described above; fines/violations; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% Score *−*0 -7 Ś Comments

#### 6. Safety Performance

C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and methodologies	A. Demonstrated ability to address and resolve safety issues	nstrate their ability to eir knowledge of	Se Requirements
30%	40%	30%		Safety Perforn Section Score (0-10): Weighting Score
4	ø	ø\$		Safety Performance (15%)  n Score (0-10):  hting Score
				e (15%)  Comments

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Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Ethos Energy brown Ltd
••	8,0''
Narrative:	

#### **Evaluation Scores**

Summary Evaluation	Evaluation
Compliance with Requirements of the PPP Act and Act 120	(Pass) Fail
SOQ Requirements and Procedures	(Pass) Fail
Background & Team Information (25%)	8.9/10
Financial Capabilities (10%)	<u>6.5</u> /10
Technical & Operational Capabilities (50%)	7.7/10
Safety Performance (15%)	8.7/10

# 1. Compliance with Requirements of PPP Act and Act 120

<b>»</b>	Pass	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
	<del>ا</del> ا	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	<b>5</b>	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	p. >>	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
	733	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	5	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Fail Comments	Pass/Fail	Requirements Score
w to the satisfaction of the Partnership Committee	sted below	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
Act and Act 120	its of PPP	Compliance with Requirements of PPP Act and Act 120
		C O CONTRACTOR CONTRAC

## 2. SOQ Requirements and Procedures

	ردی	in the future, in accordance with Section 4.7 of the RFQ
		Authority  Respondent declares, if applicable, any Conflicts of Interest or any situation that
	φ <sub>Ω</sub>	not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the
	F777	Respondent declares, and agrees to be under an obligation to declare, that it does
	\$ 22.5	SOQ is delivered no later than the Submission Deadline
	75.	Executive Summary (two pages maximum)
	0000	Table of Contents
	200	Cover Letter (two pages maximum)
	je 25	Cover page (to include identification of all management Team Members)
***************************************	Pos	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
satisfaction of the Partnership Committee	ed below to the	Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee
		Pass / Fail for Section:
	ments	SOQ Requirements

### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

<u>Good (7-8 pts):</u> The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

Minor weaknesses are identified and need to be corrected Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected. Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
		1	<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
		L	<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	å		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	mum, excluc	15 pages maxi es submitted in	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum numbe
	)-10):	Section Score (0-10):	Sc
Background & Team Information (25%)	Team Infor	ckground &	Ba

	2	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	. %	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
The state of the s			<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
		•	<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	num, excluc	5 pages maxir s submitted in	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Ceam Infor	ekground & T	Ba

## 4. Financial Capabilities

	(9	30%	<ul> <li>C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information</li> </ul>
	4	40%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	7	30% .	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
(10 pages maximum, excluding audited financials & supporting information) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)  Requirements Weighting Score Comments	lited financ xcess of th Score	xcluding audito ubmitted in exc Weighting	(10 pages maximum, excluding audited financials & supporting (The PPP Committee will not review pages submitted in excess of the maximum numb  Requirements Weighting Score
	(0-10):	Section Score (0-10):	Se
ities (10%)	apabilii	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	B. Repairing and/or replacing oil and/or gas-fired generating facilities	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:	Requirements Weighting	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of p	Technical & Operation Section Score (0-10):
9	7	8	-2		Score Comments	(50 pages maximum) ted in excess of the maximum number of pages indicated for such item)	Technical & Operational Capabilities (50%) Section Score (0-10):

Ή, 9 H. A demonstrable history of compliance with energy E. Managing fuel supply for similar or similarly situated Certification of no significant or sustained Names of the locations where the Respondent or environmental regulation violations or OSHA state, commission, or other regulatory body related policies, practices, and regulations from a subcontractors have performed similar work as generating facilities, including delivery and storage fines/violations; described above; and fuel quality testing; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 10% 5% 5% Score S B 60 Comments

### 6. Safety Performance

Section Score (0-10):	Weighting Score	nstrate their ability to ir knowledge of	B. Demonstrated knowledge of safety strategies and 40% methodologies	C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form
	Section Score (0-10):		Section Score (0-10):  Weighting Score (difference of their knowledge of Score (difference of Score of their knowledge of Score (difference of Score (difference of Score of S	Its Weighting Score (0-10):  Weighting Score emonstrate their ability to ditheir knowledge of  Itess and resolve safety 30%  If safety strategies and 40%  If safety strategies and 40%
nts Weighting Score (emonstrate their ability to d their knowledge of	emonstrate their ability to d their knowledge of			Demonstrated knowledge of safety strategies and 40% methodologies

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	1- x co	Contractors Tac	
Overall Rating: _	4.6		
Narrative:	Anna Anna Anna Anna Anna Anna Anna Anna		

### **Evaluation Scores**

Summary Evaluation	evaluation
Compliance with Requirements of the PPP Act and Act 120	Pass)/Fail
SOQ Requirements and Procedures	Pass) Fail
Background & Team Information (25%)	4.7/10
Financial Capabilities (10%)	6_/10
Technical & Operational Capabilities (50%)	<u>3.9</u> / 10
Safety Performance (15%)	6_/10
THE PARTY OF THE P	

# 1. Compliance with Requirements of PPP Act and Act 120

	Pen	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
	Pess	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Pess	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the $RFQ$ )
	Pers	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the $RFQ$ )
	ρω	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Comments	Pass/Fail	Requirements Score
the satisfaction of the Partnership Committee	ed below to I	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
t and Act 120	s of PPP Act	Compliance with Requirements of PPP Act and Act 120

## 2. SOQ Requirements and Procedures

Produce and address control of the c		
	pess	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	P:>>	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
TOTAL THE PROPERTY OF THE PROP	P65)	SOQ is delivered no later than the Submission Deadline
The state of the s	1477	Executive Summary (two pages maximum)
Assir Section 1997	Pc >5	Table of Contents
The state of the s	Pess	Cover Letter (two pages maximum)
Andrew Control of the	دد ع	Cover page (to include identification of all management Team Members)
- CALLES - C	Pess	SOQ must be prepared in English
A CONTRACTOR OF THE CONTRACTOR		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
ne satisfaction of the Partnership Committee	ed below to tl	Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee
		Pass / Fail for Section:
	ments	SOQ Requirements

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

ection Score (0-10):  15 pages maximum, excluding resumes)  28 submitted in excess of the maximum number of pages indicated for such item  Comments  70%	Section Score (0-10):  (15 pages maximum, bages submitted in exces  Weighting Sco  Ss, s, s, s, rest res, rest res, rest heir e sses	Section Score (0-10):  (15 pages maximum, excluding resumes) (15 pages maximum, excluding resumes) (15 pages submitted in excess of the maximum number enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities  A. Respondent shall provide a description of the Respondent shall provide a description of the Respondent and all Team Members that identifies  Jurisdiction, form of entity organization, ownership structure and capitalization ownership structure and capitalization (Team Member(s) (the "Key Individuals") and their roles and previous experience in all phases of power plant project devolpment including operating, maintaining, repairing, managing combined cycle, gas turbines and steam turbine generation plants)  Instances of working with Spanish-speaking workforces
--	--	--

	0	10%	C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage
	8	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
			<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
			<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item) Weighting Score Comments	num, exclud excess of th Score	5 pages maxir s submitted in Weighting	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number  Requirements Weighting Score
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Ceam Infor	ekground & T	Ba

## 4. Financial Capabilities

	0	40% 30%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts  C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information
	70	30%	Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:  A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable
Financial Capabilities (10%)  Section Score (0-10):  (10 pages maximum, excluding audited financials & supporting information)  (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)  Requirements Weighting Score Comments	pabilities +10): ed financia cess of the Score	Financial Capabilities (10%)  Section Score (0-10):  1. excluding audited financials & s submitted in excess of the maxin Weighting Score	Ser (10 pages maximum, ex (The PPP Committee will not review pages su Requirements

# 5. Technical & Operational Capabilities

	N	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	نی	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	2	10%	B. Repairing and/or replacing oil and/or gas-fired generating facilities
	6	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
naximum number of pages indicated for such item)	(50 pages maximum) tted in excess of the m	(50 pages ibmitted in exc	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of
illities (50%)	onal Capab )):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Section

ဂ္ဂ Ŧ. H. A demonstrable history of compliance with energy Ħ Names of the locations where the Respondent or Managing fuel supply for similar or similarly situated environmental regulation violations or OSHA Certification of no significant or sustained subcontractors have performed similar work as related policies, practices, and regulations from a and fuel quality testing; generating facilities, including delivery and storage state, commission, or other regulatory body fines/violations; described above; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 10% 20% 5% 5% Score Y ~ Comments

### 6. Safety Performance

A THE PARTY OF THE	C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and 40% methodologies	A. Demonstrated ability to address and resolve safety issues	nstrate their ability to eir knowledge of	Requirements Weighting	Section Score (0-10):	Safety
MANAGEMENT AND ASSESSMENT ASSESSM	7	6	<u></u>		Score Comments	e (0-10):	Safety Performance (15%)

Cerando Rorán

Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	19, toubish, Power Anences, The	Power	Anenco	The	
Overall Rating:	4.6				
Narrative:			MA MARAHAMANA AND AND AND AND AND AND AND AND AND		

### **Evaluation Scores**

	Summary Evaluation Telephone Telepho
Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
SOQ Requirements and Procedures	(Pass) Fail
Background & Team Information (25%)	6.1/10
Financial Capabilities (10%)	$\frac{q_{.3}}{10}$
Technical & Operational Capabilities (50%)	2.9/10
Safety Performance (15%)	4.7/10

# 1. Compliance with Requirements of PPP Act and Act 120

	p.>)	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the $RFQ$ )
	piss	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Pess	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	ρενν	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Pess	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
il Comments	Pass/Fail	Requirements Score
o the satisfaction of the Partnership Committee	ed below t	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
Act and Act 120	s of PPP A	Compliance with Requirements of PPP Act and Act 120

## 2. SOQ Requirements and Procedures

	p.22	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	Pess	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	Pezz	SOQ is delivered no later than the Submission Deadline
A STATE OF THE PROPERTY OF THE	6,22	Executive Summary (two pages maximum)
The state of the s	0635	Table of Contents
	0.>>	Cover Letter (two pages maximum)
	Pess	Cover page (to include identification of all management Team Members)
	V6>>	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
he satisfaction of the Partnership Committee	ed below to th	Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee
	ments	SOQ Requirements  Pass / Fail for Section:

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

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## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	<ul> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>
			<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	$\sim$		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, exclud 1 excess of 11	5 pages maxii s <i>submitted ir</i> .	(The PPP Committee will not review page
	)-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Infor	ckground &	Ва

	õ	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	8	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
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		,	<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) zes submitted in excess of the maximum number of pages indicated for such item)	num, excluc	5 pages maxir s submitted in	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Ceam Infor	ckground & I	Ba

## 4. Financial Capabilities

- Indiana			LLANAMOTE COMMITTEE COMMIT
	70	30%	C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information
	بر	40%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	D	30%	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
(10 pages maximum, excluding audited financials & supporting information) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)  Requirements Weighting Score  Comments	ted finance cess of the Score	ccluding audit thmitted in ex Weighting	(10 pages maximum, e (The PPP Committee will not review pages s Requirements
	)-10):	Section Score (0-10):	Se
es (10%)	pabilitie	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	4	20/0	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	7	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	. ~	10%	<ul><li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li></ul>
	7	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	(50 pages maximum) Hed in excess of the m	(50 pages ibmitted in ex	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number
llities (50%)	onal Capabi )):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Sectio

Ö , 1 ļπ Ξ A demonstrable history of compliance with energy related policies, practices, and regulations from a Certification of no significant or sustained Names of the locations where the Respondent or Managing fuel supply for similar or similarly situated state, commission, or other regulatory body fines/violations; environmental regulation violations or OSHA described above; subcontractors have performed similar work as and fuel quality testing; generating facilities, including delivery and storage (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% 1 Score \_\_\_ 7 O Comments

### 6. Safety Performance

C. Submi Admir (3) yea operat Memb reason	B. Demoi metho	A. Demoissues	Respondent and address and res safety strategie			
Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	Demonstrated knowledge of safety strategies and methodologies	Demonstrated ability to address and resolve safety issues	Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Requirements		
d Health ast three on I Team ing the	; and	safety	of of			
30%	40%	30%		Weighting	Section Score (0-10):	Safety
-2	5	N		Score	e (0-10):	Safety Performance (15%)
						ce (15%)
				Comments		

Cenerdo Roián

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	NAES Earp	
Overall Rating: _	9.4	
Narrative:		

### **Evaluation Scores**

Compliance with Requirements of the PPP Act and Act 120 (Pass)/ Fail
SOQ Requirements and Procedures (Pass) Fail
Background & Team Information (25%) $\frac{9.8}{1.8}/10$
Financial Capabilities (10%)
Technical & Operational Capabilities (50%)
Safety Performance (15%) $\frac{9.1}{10}$

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# 1. Compliance with Requirements of PPP Act and Act 120

	pess	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
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the satisfaction of the Partnership Committee	ed below to	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
t and Act 120	of PPP Ac	Compliance with Requirements of PPP Act and Act 120

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		The state of the s
	(co)	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
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	ρ <sub>ε</sub> >)	SOQ is delivered no later than the Submission Deadline
	6.33	Executive Summary (two pages maximum)
L SOFT	8233	Table of Contents
	ودع	Cover Letter (two pages maximum)
	0.33	Cover page (to include identification of all management Team Members)
	Pess	SOQ must be prepared in English
THE TRANSPORT TO THE TR		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
ne satisfaction of the Partnership Committee	ed below to th	Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee
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## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
	-		<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	0/		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
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Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, exch	5 pages maxii s submitted ir	(The PPP Committee will not review page
	)-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Info	ckground &	Ba

	61	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	æ	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
			Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
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Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	num, exclude excess of the	5 pages maxir ss submitted in	(The PPP Committee will not review pages submitted in excess of the maximum number
	)-10):	Section Score (0-10):	\$
Background & Team Information (25%)	Feam Infor	ckground & T	33 Ba

## 4. Financial Capabilities

WAT COMPANY			
			(2) years, together with any other relevant financial information
	3	30%	C. Copies of financial statements (audited preferred), Form 10- Ks, 20-Fs or similar types of annual reports for the past two
	7		for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	<b>S</b>	40%	R Evidence of creditworthiness, such as credit ratings, if any,
	Ö	30%	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Respondent or at least one (1) Team Member must provide:
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each
Comments	Score	Weighting	Requirements
(10 pages maximum, excluding audited financials & supporting information) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	ed financi	scluding audit	(10 pages maximum, e
	-10):	Section Score (0-10):	Se
(10%)	pabilities	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	1.3	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	·: ¬	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	6	10%	<ul> <li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li> </ul>
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Comments	Score	Weighting	Requirements
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ilities (50%)	onal Capabi )):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Section

ģ Ή. μ H. A demonstrable history of compliance with energy Managing fuel supply for similar or similarly situated Certification of no significant or sustained Names of the locations where the Respondent or subcontractors have performed similar work as related policies, practices, and regulations from a generating facilities, including delivery and storage state, commission, or other regulatory body fines/violations; environmental regulation violations or OSHA described above; and fuel quality testing; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 10% 5% <u>%</u> Score ھے /0 ò Comments

## 6. Safety Performance

C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and methodologies // 10	A. Demonstrated ability to address and resolve safety 30% issues /2		Sectio
7			OTE	nance (15%)

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Page I

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent: NR6	NRG Energy Service Group LLC	Group LLC
	- T	
Narrative:		

### **Evaluation Scores**

ocedures mation (25%)  6) apabilities (50%)	Summar	Summary Evaluation
ocedures mation (25%)  6) apabilities (50%)	Compliance with Requirements of the PPP Act and Act 120	(Pass)/ Fail
mation (25%) 6) apabilities (50%)	SOQ Requirements and Procedures	Passy Fail
apabilities (50%)	Background & Team Information (25%)	<u>8.6</u> /10
apabilities (50%)	Financial Capabilities (10%)	<u>8.8</u> /10
	Technical & Operational Capabilities (50%)	<u>4.8</u> /10
	Safety Performance (15%)	<u>4.4</u> /10

# 1. Compliance with Requirements of PPP Act and Act 120

Yez)	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
	(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
Pess	wledgement and Contact h Team Member (executed or all future communication
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Per	cation that complies with
Yess	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	applicable Puerto Rico
	(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Pey	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Pass/Fail Comments	Requirements Score P
elow to the satisfaction of the Partnership Committee	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
PPP Act and Act 120	Compliance with Requirements of PPP Act and Act 120

# 2. SOQ Requirements and Procedures

The second secon		
	دديم	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	βιο	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	P. >>	SOQ is delivered no later than the Submission Deadline
	P635	Executive Summary (two pages maximum)
- Avenue -	600)	Table of Contents
- Company Comp	γ., γ.	Cover Letter (two pages maximum)
	Pess	Cover page (to include identification of all management Team Members)
	Pos	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
ne satisfaction of the Partnership Committee	ed below to th	Pass means that Respondent complied with the requirements listed below to the satisfaction
		Pass / Fail for Section:
	ments	SOQ Requirements

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

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Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

## 3. Background & Team Information

<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)	<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>	<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>	<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>	A. Respondent shall provide a description of the Respondent and all Team Members that identifies	Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities	Requirements W	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum numbe	Section	Backgr
	70%						Weighting	ages maxir ıbmitted in	Section Score (0-10):	round &
					Ş		Score	mum, exclu excess of i	)-10): <u> </u>	Feam Info
							Comments	(15 pages maximum, excluding resumes) zes submitted in excess of the maximum number of pages indicated for such item)		Background & Team Information (25%)

Puerto Rico Electric Power Authority - Legacy Generation SOQ - P3A Project Evaluation Worksheet

Privileged and Confidernial

	V	10%	C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage
	-2	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
		,	Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).
			<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
Comments	Score	Weighting	Requirements  Instances, if relevant, in which the Respondent and Team Member(s) have worked together
r of p	num, exclude excess of the	Section Score (0-10): (15 pages maximum, ages submitted in exces	Section Score (0-10):
Background & Team Information (25%)	Team Infor	ckground &	Ва

## 4. Financial Capabilities

- Address of the annual property and the state of the sta			The state of the s
	6/	30%	C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information
	7	40%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
	10	30%	A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit
			Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
Comments	Score	Weighting Score	Requirements
tals & supporting information)  e maximum number of pages indicated for such item)	ed financia	cluding audit	(10 pages maximum, excluding audited financials & supporting submitted in excess of the maximum num
	-10):	Section Score (0-10):	Se
(10%)	pabilities	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

	/0	20%	D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;
	70	10%	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities
	6/	10%	<ul> <li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li> </ul>
	/0	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements
aximum number of pages indicated for such item)	(50 pages maximum) tted in excess of the m	(50 pages ibmitted in ext	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of
Technical & Operational Capabilities (50%) Section Score (0-10):	onal Capabi )):	echnical & Operationa Section Score (0-10):	Technic Section

Ħ, Ç ļπ Ή, A demonstrable history of compliance with energy related policies, practices, and regulations from a Certification of no significant or sustained Names of the locations where the Respondent or Managing fuel supply for similar or similarly situated fines/violations: environmental regulation violations or OSHA described above; subcontractors have performed similar work as and fuel quality testing; generating facilities, including delivery and storage (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% Score Ô Q-છે Comments

state, commission, or other regulatory body

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### 6. Safety Performance

8	30%	C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form
10	40%	<ul> <li>B. Demonstrated knowledge of safety strategies and methodologies</li> </ul>
	30%	A. Demonstrated ability to address and resolve safety issues
		Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies
Salety Performance (15%)  n Score (0-10):  thting Score Comments	Safety Periors Section Score (0-10): Weighting Score	Requirements

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	Pro Envy Sculler, 126
Overall Rating:	8.7.
Narrative:	

### **Evaluation Scores**

Summary Evaluation	Evaluation
Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
SOQ Requirements and Procedures	(Pass) Fail
Background & Team Information (25%)	<u>8.9</u> /10
Financial Capabilities (10%)	<u>7.4</u> /10
Technical & Operational Capabilities (50%)	4_/10
Safety Performance (15%)	<u>8.2</u> / 10
The state of the s	

# 1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120  Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction	PPP Act and Act 120 slow to the satisfaction of the Partnership Committee
Requirements Score Pa	Pass/Fail Comments
on that it shall be properly registered, business in Puerto Rico at the time	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)	
applicable Puerto Rico	
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)	(0)
cation that complies with	Des y
(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)	Paris
(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Par

# 2. SOQ Requirements and Procedures

		TOTAL PROPERTY OF THE PROPERTY
	Pess	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	Pcss	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	Pen	SOQ is delivered no later than the Submission Deadline
ATTENDED TO THE PARTY OF THE PA	Pers	Executive Summary (two pages maximum)
A A A A A A A A A A A A A A A A A A A	Pess	Table of Contents
	Pos	Cover Letter (two pages maximum)
	Pess	Cover page (to include identification of all management Team Members)
A DATE OF THE PARTY OF THE PART	$\rho_{c>>}$	SOQ must be prepared in English
Additional delication of the state of the st		Respondents shall comply with the SOQ format requirements:
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#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

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## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	<ul> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>
	***************************************		<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	8		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	mum, excluc	15 pages maxi es submitted it	(The PPP Committee will not review pag
	0-10):	Section Score (0-10):	St
Background & Team Information (25%)	Team Infor	ckground &	Be

	6	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
	/0	20%	B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions
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	tion and a second secon		<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>
		3	<ul> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>
he maximum number of pages indicated for such item)  Comments	excess of the Score	s submitted in Weighting	(The PPP Committee will not review pages submitted in excess of the maximum number  Requirements Weighting Score
(15 pages maximum, excluding resumes)	num, exclu	5 pages maxir	
	-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Infor	ckground & T	$oldsymbol{Ba}$

## 4. Financial Capabilities

	7		Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information
	<b>3</b>	30%	C. Copies of financial statements (audited preferred), Form 10-
	ν,		for each Team Member, or historical metrics supporting
		40%	B. Evidence of creditworthiness, such as credit ratings, if any,
	ø		security in the form of an unconditional and irrevocable direct pay letter(s) of credit
		30%	A. Evidence of the financial capability to obtain operational
			financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:
Comments	Score	Weighting	Requirements  Respondent must demonstrate adequate
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	cess of the	ibmitted in exc	(The PPP Committee will not review pages st
(10 pages maximum, excluding audited financials & supporting information)	ed financia	cluding audit	(10 pages maximum, e
	-10):	Section Score (0-10):	Se
10%)	pabilities (	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

Section	Section Score (0-10):	ore (0-10):	
(The PPP Committee will not review pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the maximum number of pages submitted in excess of the pages of	bmitted in exc Weighting	ess of the m	eximum number of pages indicated for such item)  Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	/0	
<ul> <li>B. Repairing and/or replacing oil and/or gas-fired generating facilities</li> </ul>	10%	6/	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	6/	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	~	

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Technical & Operational Capabilities (50%) Section Score (0-10):

(50 pages maximum)
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

		Requirements	Weighting	Score	Comments
	ដែ	Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	
	F.	<ul> <li>Yames of the locations where the Respondent or subcontractors have performed similar work as described above;</li> </ul>	5%	J	
	ç.	<ul> <li>G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;</li> </ul>	. 10%	6	
	H.	H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	3	
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### 6. Safety Performance

	2 7 8	30% 40% 30%	address and resolve safety issues and their knowledge of safety strategies and methodologies  A. Demonstrated ability to address and resolve safety issues  B. Demonstrated knowledge of safety strategies and methodologies  C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form
nce (15%)  Comments	Safety Performance (15%)  a Score (0-10):  hting Score	Safety Perforn Section Score (0-10): Weighting Score	Requirements Respondent and Team Member(s) demonstrate their ability to

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Legacy Generation
P3A SOQ Evaluation Worksheet

Respondent:	PW Power Systems LLC	
)verall Rating:	5, 7	
Varrative:		

### **Evaluation Scores**

Summar	Summary Evaluation
Compliance with Requirements of the PPP Act and Act 120	(Pass) / Fail
SOQ Requirements and Procedures	Pass/ Fail
Background & Team Information (25%)	5.9/10
Financial Capabilities (10%)	<u>X</u> /10
Technical & Operational Capabilities (50%)	<u>5,4/10</u>
Safety Performance (15%)	<u>5.0</u> /10

# 1. Compliance with Requirements of PPP Act and Act 120

	And the state of t
P.55	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
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P - 25	wledgement and Contact h Team Member (executed or all future communication
0.55	Each Respondent and Team Member provides a certification that computes with the requirements of Section 3, Part 1, 1.2 of the RFQ  (Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
Pass	ğ
Pass	at it shall be properly registered, siness in Puerto Rico at the time and Team Members Certification (RFQ)
Pass/Fail Comments	_
PPP Act and Act 120 zlow to the satisfaction of the Partnership Committee	Compliance with Requirements of PPP Act and Act 120  Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction

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	0-10):	Section Score (0-10):	Sc
Background & Team Information (25%)	Team Info	ckground &	Ba

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A STATE OF THE PROPERTY OF T	×	10%	<ul> <li>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</li> </ul>
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## 4. Financial Capabilities

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	ø	30%	<ul> <li>C. Copies of financial statements (audited preferred), Form 10- Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information</li> </ul>
	8	40%	B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts
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(10%)	pabilities	Financial Capabilities (10%)	

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	6	20%	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;
			Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:
aximum number of pages indicated for such item)  Comments	(50 pages maximum) ted in excess of the m eighting Score	(50 pages bmitted in exc Weighting	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of  Requirements Weighting Score
ilities (50%)	onal Capab )):	Technical & Operational Capabilities (50%) Section Score (0-10):	Technic Sectio

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Ή, ļπ H. A demonstrable history of compliance with energy Ġ Managing fuel supply for similar or similarly situated Certification of no significant or sustained subcontractors have performed similar work as Names of the locations where the Respondent or related policies, practices, and regulations from a environmental regulation violations or OSHA generating facilities, including delivery and storage state, commission, or other regulatory body fines/violations; and fuel quality testing; described above; (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item) Requirements Technical & Operational Capabilities (50%) Section Score (0-10): Weighting (50 pages maximum) 20% 10% 5% 5% Score 9 Ś 9 क Comments

## 6. Safety Performance

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General Roman

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Page 1

Legacy Generation
P3A SOQ Evaluation Worksheet

Narrative: \_ Overall Rating: Respondent: \_\_

### **Evaluation Scores**

Summary Evaluation	Evaluation which we describe the action of the control of the cont
Compliance with Requirements of the PPP Act and Act 120	(Pass)/Fail
SOQ Requirements and Procedures	(Pass)/ Fail
Background & Team Information (25%)	<u>8.3</u> /10
Financial Capabilities (10%)	<u>4.1</u> /10
Technical & Operational Capabilities (50%)	7.9/10
Safety Performance (15%)	<u>8.7</u> /10
THE	

# 1. Compliance with Requirements of PPP Act and Act 120

	βe>>	Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.
		(Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFQ)
	Pros	Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)
The state of the s		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	βردي	Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the $RFQ$ )
	φ <sub>e</sub> , >>	Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements
		(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)
	Pe>>	Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract
Comments	Pass/Fail	Requirements Score
isfaction of the Partnership Committee	ed below to the sa	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
Act 120	of PPP Act and	Compliance with Requirements of PPP Act and Act 120

# 2. SOQ Requirements and Procedures

		A CALL MARKET THE PROPERTY OF
	Pe>>>	Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ
	ρων	Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority
	P. 3)	SOQ is delivered no later than the Submission Deadline
	8,23	Executive Summary (two pages maximum)
	PO	Table of Contents
	Pos	Cover Letter (two pages maximum)
	Pezz	Cover page (to include identification of all management Team Members)
	Pess	SOQ must be prepared in English
		Respondents shall comply with the SOQ format requirements:
Comments	Pass/Fail	Requirements
ne satisfaction of the Partnership Committee	ed below to th	Pass / Fail for Section:  Pass means that Respondent complied with the requirements listed below to the satisfaction
	ments	SOQ Requirements

#### Scored Sections

Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.

quality. No weaknesses are identified. Excellent (9-10 pts): The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of

weaknesses (if any) are identified and no correction is necessary. Good (7-8 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor

Minor weaknesses are identified and need to be corrected. Adequate (5-6 pts): The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements.

weaknesses are identified and need to be corrected Deficient (3-4 pts): The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major

information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary. Poor (0-2 pts): The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required

## 3. Background & Team Information

			<ul> <li>Instances of working with Spanish-speaking workforces</li> </ul>
		70%	• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)
	AAAAA Jayaa ayaa		<ul> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>
			<ul> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>
			<ul> <li>Anticipated roles, functions and overview of business operations</li> </ul>
	Ь		A. Respondent shall provide a description of the Respondent and all Team Members that identifies
			Respondent and I sam Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities
Comments	Score	Weighting	Requirements
(15 pages maximum, excluding resumes) ges submitted in excess of the maximum number of pages indicated for such item)	num, excluo excess of II	5 pages maxir s submitted in	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum numbe
	)-10):	Section Score (0-10):	Se
Background & Team Information (25%)	Team Infor	ckground & '	Ва

Section Score (0-10):  (15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number	Section Score (0-10):  (15 pages maximum, excluding resumes) ages submitted in excess of the maximum n	-10):	Section Score (0-10):  (15 pages maximum, excluding resumes) ages submitted in excess of the maximum number of pages indicated for such item)
Requirements  Instances, if relevant, in which the Respondent and Team Member(s) have worked together	Weighting	Score	Comments
<ul> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).			
B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions	20%	6	
C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage	10%	0	

## 4. Financial Capabilities

Table 1.			THE THE PROPERTY OF THE PROPER
	_1		(2) years, together with any other relevant financial information
	)	30%	C. Copies of financial statements (audited preferred), Form 10-
THE SAME AND ADDRESS OF THE SA			credit quality comparable to those used by credit analysts
	~		for each Team Member, or historical metrics supporting
	/,	40%	B. Evidence of creditworthiness, such as credit ratings, if any,
	•		direct pay letter(s) of credit
	~	30%	security in the form of an unconditional and irrevocable
The state of the s		3001	۸ الردیامی میشود از این
			Respondent or at least one (1) Team Member must provide:
			financial wherewithal to fulfill the terms of the PPP Contract. Each
			Financial Capacity of Team: Respondent must demonstrate adequate
Comments	Score	Weighting	Requirements
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	cess of the	ıbmined in ex	(The PPP Committee will not review pages s
(10 pages maximum, excluding audited financials & supporting information)	ed financi	cluding audit	(10 pages maximum, e
The second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a second section in the second section in the second section is a section in the second section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section is a section in the section in the section in the section in the section is a section in the section in			
	-10):	Section Score (0-10):	Se
(10%)	pabilities	Financial Capabilities (10%)	

# 5. Technical & Operational Capabilities

D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	B. Repairing and/or replacing oil and/or gas-fired /2 generating facilities	A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:	Requirements Weighting Score	(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number o	Section Scote (n-xo).
					Comments	er of pages indicated for such item)	

Technical & Operational Capabilities (50%) Section Score (0-10):

(50 pages maximum) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<ul> <li>E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;</li> </ul>	20%	6	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	88	
<ul> <li>G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;</li> </ul>	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	ð	

### 6. Safety Performance

C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	B. Demonstrated knowledge of safety strategies and 40% methodologies	A. Demonstrated ability to address and resolve safety 30% issues	Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies	Safet Section Scor Requirements Weighting
6 10	a s	7		Safety Perform Section Score (0-10): Weighting Score
				Safety Performance (15%)  n Score (0-10):  hting Score  Comments