

*Bernardo Rovin*  
*10/16/20*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Abengoa - NTRC Consortium

Overall Rating: 6.5

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass/Fail
SOQ Requirements and Procedures	Pass/Fail
Background & Team Information (25%)	5.2 / 10
Financial Capabilities (10%)	5.9 / 10
Technical & Operational Capabilities (50%)	6.9 / 10
Safety Performance (15%)	8 / 10

*Privileged and Confidential*

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>	70%	5	
<ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>			
<ul style="list-style-type: none"> <li>Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

**Section Score (0-10):** \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	6	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

**4. Financial Capabilities**

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	7	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	6	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	5	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	



**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	6	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	6	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	4	

*Gerardo Rovin*

*12/18/20*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Beowolf Energy LLC

Overall Rating: 6.6

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	<input checked="" type="radio"/> Pass / <input type="radio"/> Fail
SOQ Requirements and Procedures	<input checked="" type="radio"/> Pass / <input type="radio"/> Fail
Background & Team Information (25%)	<u>7.3</u> / 10
Financial Capabilities (10%)	<u>0</u> / 10
Technical & Operational Capabilities (50%)	<u>7.7</u> / 10
Safety Performance (15%)	<u>6.6</u> / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Pass / Fail for Section:	Requirements	Pass/Fail
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	7	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	



**4. Financial Capabilities**

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:</p>			
<p>A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;</p>	20%	9	
<p>B. Repairing and/or replacing oil and/or gas-fired generating facilities</p>	10%	7	
<p>C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities</p>	10%	8	
<p>D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&amp;D Operator;</p>	20%	7	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

*(50 pages maximum)*  
*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	3	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	6	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	7	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	
B. Demonstrated knowledge of safety strategies and methodologies	40%	7	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	2	

Legacy Generation  
P3A SOQ Evaluation Worksheet

*Gerardo Loren*  
12/16/20

Respondent: CAIYS, LLC

Overall Rating: 6.7

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	6.3 / 10
Financial Capabilities (10%)	4.2 / 10
Technical & Operational Capabilities (50%)	7.3 / 10
Safety Performance (15%)	7 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: \_\_\_\_\_  
 Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Fail	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.



**3. Background & Team Information**

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	7	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

**4. Financial Capabilities**

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	9	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	9	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	8	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	
B. Demonstrated knowledge of safety strategies and methodologies	40%	7	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	

*Gerardo Rorin*  
10/16/23

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Eco Electrica, L.P.

Overall Rating: 7.6

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	<input checked="" type="radio"/> Pass / <input type="radio"/> Fail
SOQ Requirements and Procedures	<input checked="" type="radio"/> Pass / <input type="radio"/> Fail
Background & Team Information (25%)	<u>7.3</u> / 10
Financial Capabilities (10%)	<u>8.6</u> / 10
Technical & Operational Capabilities (50%)	<u>7.1</u> / 10
Safety Performance (15%)	<u>9.3</u> / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i> Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i> Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i> Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i> Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	



2. SOQ Requirements and Procedures

**SOQ Requirements**

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

**Pass / Fail for Section:**

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		7	
<ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>	70%		
<ul style="list-style-type: none"> <li>• Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	7	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	12	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	6	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	6	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	6	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	9	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	



*Gerardo Forin*

*12/16/20*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Empres Borrero & Electricos & Herrero S.A.

Overall Rating: 8.1

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	7.6 / 10
Financial Capabilities (10%)	8.8 / 10
Technical & Operational Capabilities (50%)	8.2 / 10
Safety Performance (15%)	8 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence of certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent) <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	8	

**Background & Team Information (25%)**

**Section Score (0-10):** \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p><b>B.</b> Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	10	
<p><b>C.</b> Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	/0	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	7	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	/0	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	7	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	



**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	10	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	7	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	

*Gerardo Foran*  
10/16/20

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Enature (SPEV Consortium)

Overall Rating: 2.3

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass (Fail)
SOQ Requirements and Procedures	Pass (Fail)
Background & Team Information (25%)	4.5 / 10
Financial Capabilities (10%)	0 / 10
Technical & Operational Capabilities (50%)	2.4 / 10
Safety Performance (15%)	0 / 10

**1. Compliance with Requirements of PPP Act and Act 120**

**Compliance with Requirements of PPP Act and Act 120**

*Pass / Fail for Section: \_\_\_\_\_*  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract	Fail		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements	Fail		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO	Fail		
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)	Fail		
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Fail		

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Fail	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Fail	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Fail	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFO	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts)**: The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts)**: The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts)**: The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		5	
<ul style="list-style-type: none"> <li>Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>	70%		
<ul style="list-style-type: none"> <li>Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	5	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	



4. Financial Capabilities

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	0	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	0	



**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	3	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	3	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	1	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	2	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	0	
B. Demonstrated knowledge of safety strategies and methodologies	40%	0	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	0	

*Benards Borin*  
*10/16/12*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Eners Power LLC

Overall Rating: 7.5

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass/Fail
SOQ Requirements and Procedures	Pass/Fail
Background & Team Information (25%)	6.4 / 10
Financial Capabilities (10%)	6.9 / 10
Technical & Operational Capabilities (50%)	8 / 10
Safety Performance (15%)	8.3 / 10

*Privileged and Confidential*

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: \_\_\_\_\_  
 Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  (Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  (Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO  (Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  (Satisfied by executing the Form of Document Acknowledgement & Contact Information in Appendix B of the RFO)		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section:                       
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFO	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.



3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	6	

**Background & Team Information (25%)**

**Section Score (0-10):** \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	6	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	6	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	8	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	7	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	4	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	7	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	9	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	7	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	8	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	8	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	4	

*Bernards Jarvis*  
10/16/20

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Ethos Energy Group Ltd

Overall Rating: 8.0

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.9 / 10
Financial Capabilities (10%)	6.5 / 10
Technical & Operational Capabilities (50%)	7.7 / 10
Safety Performance (15%)	8.7 / 10

*Privileged and Confidential*

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative")) for all future communication between the Authority and the Respondent <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	



2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: Pass  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	4	

**Background & Team Information (25%)**

**Section Score (0-10): \_\_\_\_\_**

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	P	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	6	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	5	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	9	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	8	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	7	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	5	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	9	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	



*Gerardo Rovin*  
*10/16/20*  
*GR*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Excel Contractors, Inc

Overall Rating: 4.6

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	<u>4.7</u> / 10
Financial Capabilities (10%)	<u>6</u> / 10
Technical & Operational Capabilities (50%)	<u>3.9</u> / 10
Safety Performance (15%)	<u>6</u> / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: Pass  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts)**: The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts)**: The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts)**: The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)		Section Score (0-10): _____	(15 pages maximum, excluding resumes) (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)	
Requirements	Weighting	Score	Comments	
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	5		

**Background & Team Information (25%)**

**Section Score (0-10):** \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	6	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	0	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	6	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	3	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	5	



**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	5	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	1	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	1	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	6	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	

*Gerardo Borin*  
*12/14/20*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Mitsubishi Power America, Inc

Overall Rating: 4.6

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	6.1 / 10
Financial Capabilities (10%)	9.3 / 10
Technical & Operational Capabilities (50%)	2.9 / 10
Safety Performance (15%)	4.7 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO	Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i> Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)	Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i> Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.	Pass	

2. SOQ Requirements and Procedures

SOQ Requirements		
Pass / Fail for Section: <u>Pass</u>		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:	Pass	
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts)**: The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts)**: The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts)**: The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts)**: The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities			
A. Respondent shall provide a description of the Respondent and all Team Members that identifies <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	5	

**Background & Team Information (25%)**

**Section Score (0-10): \_\_\_\_\_**

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	8	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>			
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	



**4. Financial Capabilities**

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	9	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	9	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	5	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	5	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	2	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	2	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	0	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	4	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	4	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	5	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	4	

*Gerardo Rovin*  
12/16/12

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: NAES Corp

Overall Rating: 9.4

Narrative: \_\_\_\_\_

Evaluation Scores

	Summary Evaluation
Compliance with Requirements of the PPP Act and Act 120	Pass/ Fail
SOQ Requirements and Procedures	Pass/ Fail
Background & Team Information (25%)	9.8 / 10
Financial Capabilities (10%)	9.6 / 10
Technical & Operational Capabilities (50%)	9.2 / 10
Safety Performance (15%)	9.1 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: Pass  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence of certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.



3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p>		10	
<ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> </ul>			
<ul style="list-style-type: none"> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> </ul>			
<ul style="list-style-type: none"> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> </ul>			
<ul style="list-style-type: none"> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> </ul>	70%		
<ul style="list-style-type: none"> <li>• Instances of working with Spanish-speaking workforces</li> </ul>			

**Background & Team Information (25%)**

**Section Score (0-10): \_\_\_\_\_**

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	9	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	10	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	4	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	1	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing:	20%	10	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above:	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations:	10%	7	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	9	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	10	
B. Demonstrated knowledge of safety strategies and methodologies	40%	10	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	7	

*Gerardo Korai*

*12/18/20*

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: MR6 Energy Service Group LLC

Overall Rating: 9.3

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
	Pass / Fail
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.6 / 10
Financial Capabilities (10%)	8.8 / 10
Technical & Operational Capabilities (50%)	9.8 / 10
Safety Performance (15%)	9.4 / 10

*Privileged and Confidential*

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO  <i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)  <i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	



2. SOQ Requirements and Procedures

SOQ Requirements		
<i>Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee</i>		
Requirements	Pass / Fail for Section:	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFO	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	9	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	10	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	7	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	13	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	10	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	10	

**Technical & Operational Capabilities (50%)**

Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	4	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	10	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	10	

6. Safety Performance

Safety Performance (15%)			
Section Score (0-10): _____			
Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	10	
B. Demonstrated knowledge of safety strategies and methodologies	40%	10	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	8	



*Gerardo Rios*  
1/31/16/20

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: Pro Energy Services, LLC

Overall Rating: 8.7

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass/ Fail
SOQ Requirements and Procedures	Pass/ Fail
Background & Team Information (25%)	8.9 / 10
Financial Capabilities (10%)	7.4 / 10
Technical & Operational Capabilities (50%)	9 / 10
Safety Performance (15%)	8.2 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: \_\_\_\_\_

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix 4 of the RFQ)</i>		Pass	
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix 4 of the RFQ)</i>		Pass	
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>		Pass	
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>		Pass	
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities			
A. Respondent shall provide a description of the Respondent and all Team Members that identifies <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%		
		9	

**Background & Team Information (25%)**

**Section Score (0-10):** \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul>			
<p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>			
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>	20%	/0	
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	6	

4. Financial Capabilities

Financial Capabilities (10%)

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	5	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	10	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	/0	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	/2	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	/2	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	1	



**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	7	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	10	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	8	
B. Demonstrated knowledge of safety strategies and methodologies	40%	7	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	12	

*Gerardo Raris*  
10/16/20

Legacy Generation  
P3A SOQ Evaluation Worksheet

Respondent: PW Power Systems LLC

Overall Rating: 5.7

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	5.9 / 10
Financial Capabilities (10%)	8 / 10
Technical & Operational Capabilities (50%)	5.4 / 10
Safety Performance (15%)	5.0 / 10

Privileged and  
Confidential

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section: \_\_\_\_\_

Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence of certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFO		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFO)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFO)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:	Pass	
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.

3. Background & Team Information

Background & Team Information (25%)

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p>			
<p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	6	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	6	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>			
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	5	



4. Financial Capabilities

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	8	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	8	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	6	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	6	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	6	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	6	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

*(50 pages maximum)  
 (The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	3	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	6	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	6	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	6	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	5	
B. Demonstrated knowledge of safety strategies and methodologies	40%	5	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	5	

Legacy Generation  
P3A SOQ Evaluation Worksheet

*Gerardo Barrin*  
2/15/23

Respondent: Siemens Energy, Inc

Overall Rating: 8.2

Narrative: \_\_\_\_\_

Evaluation Scores

Summary Evaluation	
Compliance with Requirements of the PPP Act and Act 120	Pass / Fail
SOQ Requirements and Procedures	Pass / Fail
Background & Team Information (25%)	8.3 / 10
Financial Capabilities (10%)	9.1 / 10
Technical & Operational Capabilities (50%)	7.9 / 10
Safety Performance (15%)	8.7 / 10

1. Compliance with Requirements of PPP Act and Act 120

Compliance with Requirements of PPP Act and Act 120

Pass / Fail for Section:

*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Score	Pass/Fail	Comments
Respondent provides evidence or certification that it shall be properly registered, or capable of being properly registered, to do business in Puerto Rico at the time of execution of the PPP Contract		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides statement it shall comply with all applicable Puerto Rico and U.S. laws and/or requirements		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Each Respondent and Team Member provides a certification that complies with the requirements of Section 3, Part 1, 1.2 of the RFQ		Pass	
<i>(Satisfied by executing the Form of Respondent and Team Members Certification in Appendix A of the RFQ)</i>			
Respondent provides executed Document Acknowledgement and Contact Information letter, on behalf of the Respondent and each Team Member (executed by the contact person ("Respondent Representative") for all future communication between the Authority and the Respondent)		Pass	
<i>(Satisfied by executing the Form of Document Acknowledgement &amp; Contact Information in Appendix B of the RFQ)</i>			
Respondent provides an Appendix B Form of Document Acknowledgement & Contact Information stating the documents related to the RFP that were downloaded.		Pass	

2. SOQ Requirements and Procedures

SOQ Requirements

Pass / Fail for Section: \_\_\_\_\_  
*Pass means that Respondent complied with the requirements listed below to the satisfaction of the Partnership Committee*

Requirements	Pass/Fail	Comments
Respondents shall comply with the SOQ format requirements:		
SOQ must be prepared in English	Pass	
Cover page (to include identification of all management Team Members)	Pass	
Cover Letter (two pages maximum)	Pass	
Table of Contents	Pass	
Executive Summary (two pages maximum)	Pass	
SOQ is delivered no later than the Submission Deadline	Pass	
Respondent declares, and agrees to be under an obligation to declare, that it does not have knowledge of or the ability to avail itself of confidential information of the Government, PREPA or the Authority relevant to the Project, except to the extent it has been expressly authorized by the Government, PREPA, or the Authority	Pass	
Respondent declares, if applicable, any Conflicts of Interest or any situation that may be reasonably perceived as a Conflict of Interest that exists now or may exist in the future, in accordance with Section 4.7 of the RFQ	Pass	

**Scored Sections**

*Use the following worksheets to rate the substantive sections of the Respondents' SOQs, assigning a score to each section as follows.*

**Excellent (9-10 pts):** The Respondent has provided information relative to its qualifications which indicates a consistently outstanding level of quality. No weaknesses are identified.

**Good (7-8 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses (if any) are identified and no correction is necessary.

**Adequate (5-6 pts):** The Respondent has presented information relative to its qualifications which is considered to meet the stated requirements. Minor weaknesses are identified and need to be corrected.

**Deficient (3-4 pts):** The Respondent has presented information relative to its qualifications which fails to fully meet the stated requirements. Major weaknesses are identified and need to be corrected.

**Poor (0-2 pts):** The Respondent has either presented information relative to its qualifications that contains significant weaknesses or the required information was not presented. Weaknesses are so major that a major revision to the SOQ would be necessary.



3. Background & Team Information

Background & Team Information (25%)			
Section Score (0-10): _____			
(15 pages maximum, excluding resumes)			
(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)			
Requirements	Weighting	Score	Comments
<p>Respondent and Team Member(s) are encouraged to provide enough supporting information and details to enable the evaluators to perform a thorough evaluation of their strengths, roles and responsibilities</p> <p>A. Respondent shall provide a description of the Respondent and all Team Members that identifies</p> <ul style="list-style-type: none"> <li>• Anticipated roles, functions and overview of business operations</li> <li>• Jurisdiction, form of entity organization, ownership structure and capitalization</li> <li>• Anticipated legal relationships (e.g. joint ventures, partnerships), and percentage of ownership interest</li> <li>• Up to five (5) individuals who will play an important role on behalf of the Respondent and Team Member(s) (the "Key Individuals") and their roles and previous experience (experience of the individuals should include experience in all phases of power plant project development including operating, maintaining, repairing, managing capital expenditures and decommissioning combined cycle, gas turbines and steam turbine generation plants)</li> <li>• Instances of working with Spanish-speaking workforces</li> </ul>	70%	9	

**Background & Team Information (25%)**

Section Score (0-10): \_\_\_\_\_

(15 pages maximum, excluding resumes)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
<ul style="list-style-type: none"> <li>Instances, if relevant, in which the Respondent and Team Member(s) have worked together</li> </ul>			
<ul style="list-style-type: none"> <li>Evidence and tenor of operations and management experience in electric power generation (including experience with operating agreements)</li> </ul> <p>Anticipated roles and legal relationships include, among other relevant descriptions, whether Respondent is the entity expected to submit the response to the RFP and execute the PPP Contract as the Private Partner. Description also includes the entity expected to guarantee the Private Partner's performance under the PPP Contract in the case where Respondent is not the Private Partner (to the extent known at this time).</p>	20%	10	
<p>B. Respondent provides resumes for specific Key Individuals, including Spanish-speaking skills. The anticipated management team is comprised of individuals with at least ten (10) years of relevant electric generation managerial experience for all executive-level positions</p>			
<p>C. Respondent submits a list of all technical, financial, legal, accounting, or other advisors that the Respondent or any Team Member has engaged or intends to engage</p>	10%	0	

4. Financial Capabilities

**Financial Capabilities (10%)**

Section Score (0-10): \_\_\_\_\_

(10 pages maximum, excluding audited financials & supporting information)  
*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
Financial Capacity of Team: Respondent must demonstrate adequate financial wherewithal to fulfill the terms of the PPP Contract. Each Respondent or at least one (1) Team Member must provide:			
A. Evidence of the financial capability to obtain operational security in the form of an unconditional and irrevocable direct pay letter(s) of credit	30%	8	
B. Evidence of creditworthiness, such as credit ratings, if any, for each Team Member, or historical metrics supporting credit quality comparable to those used by credit analysts	40%	12	
C. Copies of financial statements (audited preferred), Form 10-Ks, 20-Fs or similar types of annual reports for the past two (2) years, together with any other relevant financial information	30%	9	

5. Technical & Operational Capabilities

Technical & Operational Capabilities (50%)  
 Section Score (0-10): \_\_\_\_\_

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

Requirements	Weighting	Score	Comments
Respondent must demonstrate its technical and operational capabilities to fulfill the terms of the PPP Contract. At least one (1) Team Member must provide:			
A. Concurrently operating and maintaining either (i) two (2) or more oil and/or gas-fired generating facilities larger than 100 MW, or (ii) a fleet of at least 1,000 MW combined of oil and/or gas-fired generating facilities;	20%	10	
B. Repairing and/or replacing oil and/or gas-fired generating facilities	10%	10	
C. Experience with execution or oversight of plant decommissioning, including the procurement of qualified subcontractors to decommission power generation facilities	10%	7	
D. Demonstrated ability to safely operate similar technology generation facilities and managing outages and maintenance programs in order to achieve the operating objectives of the T&D Operator;	20%	9	

**Technical & Operational Capabilities (50%)**  
**Section Score (0-10): \_\_\_\_\_**

(50 pages maximum)

*(The PPP Committee will not review pages submitted in excess of the maximum number of pages indicated for such item)*

<b>Requirements</b>	<b>Weighting</b>	<b>Score</b>	<b>Comments</b>
E. Managing fuel supply for similar or similarly situated generating facilities, including delivery and storage and fuel quality testing;	20%	6	
F. Names of the locations where the Respondent or subcontractors have performed similar work as described above;	5%	8	
G. Certification of no significant or sustained environmental regulation violations or OSHA fines/violations;	10%	4	
H. A demonstrable history of compliance with energy related policies, practices, and regulations from a state, commission, or other regulatory body	5%	8	

6. Safety Performance

Safety Performance (15%)

Section Score (0-10): \_\_\_\_\_

Requirements	Weighting	Score	Comments
Respondent and Team Member(s) demonstrate their ability to address and resolve safety issues and their knowledge of safety strategies and methodologies			
A. Demonstrated ability to address and resolve safety issues	30%	7	
B. Demonstrated knowledge of safety strategies and methodologies	40%	9	
C. Submit copies of the Occupational Safety and Health Administration (OSHA) 300 forms for the past three (3) years, only as related to electric generation operations. If not applicable, Respondent and Team Member(s) must present a document explaining the reasons for not submitting the form	30%	10	